

Ref No: NBC/R&D/87/446
Date: 28.09.2019



NATIONAL ALUMINIUM COMPANY LTD
Nalco Bhawan, Plot No: P/1, Nayapalli,
Bhubaneswar-751013

EXPRESSION OF INTEREST (EoI) FOR R&D COLLABORATION/ COMMERCIALIZATION OF TECHNOLOGY

नालको  NALCO CORPORATE OFFICE	DOCUMENT TITLE	REVISION	DOC. PAGE
	INVITATION OF EOI FOR R&D WORKS	0	1 of 11

EXPRESSION OF INTEREST

1. TITLE:

Expression of Interest (EoI) for R&D Collaboration/Commercialization of Technology with NALCO.

2. BRIEF ABOUT NALCO:

National Aluminium Company Limited (NALCO) is one of the largest Aluminium and Alumina producers in India having its Corporate Office at Bhubaneswar and Alumina Refinery & Bauxite Mines at Damanjodi in the state of Odisha, India.

Mines Division is the captive bauxite mine of NALCO situated on Panchpatmali hills at an elevation of 1300m above mean sea level near Damanjodi, in the district of Koraput, Odisha state, India. The mines have a capacity to produce 68.25 Lakh Tons per Annum of Bauxite. The bauxite is transported through hilly terrain by Cable Belt Conveyor System and then fed in Alumina refinery plant at Damanjodi for purification. The process of extraction of Alumina from its mineral bauxite in caustic liquor solution is commonly known as 'Bayer's Process'.

Nalco's Alumina Refinery, having a capacity of 22.75 Lakh Tons per Annum, is the lowest cost Alumina producer in the world, as per Wood Mackenzie report. The alumina produced is used to meet Company's requirement for producing primary aluminium at its Smelter Plant, located at Angul, Odisha and the surplus alumina is exported to overseas market.

Nalco's Smelter Plant, having a capacity of 4.6 Lakh Tons per Annum Alumina is converted into primary aluminium through a smelting process by using AP18 smelting technology. The plant has integrated facilities for manufacturing standard and alloy ingots, T-ingots, billets, wire rods, rolled products and chequered sheets. The product is sold in domestic/ international market.

To meet the power demand of the Smelter Plant, NALCO has its own Captive Power Plant, 10X120 MW capacities at Angul.

More details on NALCO can be viewed on company's website www.nalcoindia.com.

3. ABOUT R&D ACTIVITIES OF NALCO:

National Aluminium Company Limited (NALCO) Research & Developmental activities are carried out by R&D dept at S&P Complex and M&R Complex of the Company along with a corporate level R&D department. NALCO is giving importance to R&D activity either by in-house effort or with in collaboration with National/ International Laboratories/ academic institutions/ JNARDDC/ IIT/ NIT/ Engineering Colleges/ CSIR Labs/ Other DSIR approved labs/ Agencies depending on the needs. NALCO in its pursuit towards organizational growth through sustained development in process, product and technology through research & development activity, is setting up new facilities at its NALCO Research and Technology Center (NRTC) and revamping its R&D Centres to have the state of the art research and development facility created progressively with a vision to: '*Set up world class, state of the art research and development facilities to enable NALCO to achieve excellence in process, product and technology in the field of Bauxites, Alumina, Aluminium and allied areas*'. It is intended to carry out certain collaborative research work at NRTC, for which the facilities are being developed.

 नालको  NALCO CORPORATE OFFICE	DOCUMENT TITLE	REVISION	DOC. PAGE
	INVITATION OF EOI FOR R&D WORKS	0	2 of 11

4.1 OBJECTIVE OF EoI:

NALCO is interested for taking up collaborative R&D study with an objective of implementation of the R&D findings in its own plant, setting up scale up facilities, process and product development etc to Aluminium Industry. The EoI is to shortlist appropriate proposals and corresponding institute/organizations who are interested in carrying out research & development works related to Aluminium Industry. Institutes/Organization having R&D experience in the field of Bauxite/ Alumina/ Aluminium/ Power shall be preferred.

In view of the above this Expression of Interest (EoI) is being sought from different Universities, Central organizations, National academic institutions, private institutions/ companies on the following areas .

4.2 R&D STUDY AREAS OF INTEREST:

A. Potential Research Areas – Mines and Refinery

- i. Utilization of Partially Lateritized Khondalite(PLK)
- ii. Increasing process productivity, for possible implementation in Alumina Refinery.
- iii. Control of scales developed in the Alumina Refinery
- iv. Improved settling reagent for settlers and washers at Alumina Refinery
- v. Development of High pure alumina (4N), Synthesis of Sappihre for electronics industry & LED application.
- vi. Studies on extraction of Vanadium from Bayer process.

B. Potential Research Areas - Smelter & Power Plant

- i. Improve Pot productivity, reduction in energy consumption , increasing lining life etc
- ii. Development of new /strategic Aluminium Alloys, composites etc having application potential, including possible prototype development & their validation.
- iii. Increasing cast house furnace efficiency for reducing energy consumption
- iv. Inert anodes

C. Common Research Areas for Mines and Refinery & Smelter and Captive Power Plant

- i. Modern in situ/ online instrumental techniques for Chemical, Physical & Morphological characterization of Ores, Minerals and Raw Materials for Metal, slurry, process parameters etc, for other utility application.
- ii. Development of New Analytical Techniques and standard samples
- iii. Mathematical Modelling in areas related to Alumina and Aluminium Process
- iv. Alternate new Raw Materials Development for process.

 नालको  NALCO CORPORATE OFFICE	DOCUMENT TITLE	REVISION	DOC. PAGE
	INVITATION OF EOI FOR R&D WORKS	0	3 of 11

- v. Development of Alumina/Aluminium based material /product for bio applications/antibacterial application.
- vi. CO₂ sequestration / Methodology for reduction of CO₂ emission (green house gas) from power plants.
- vii. Any other field in line with Vision and Mission of the Company (<http://www.nalcoindia.com>).

5. R&D Projects Proposals with Commercialisation Potential:

Pilot/Demonstration Plant:

NALCO is interested in setting up of pilot plant/demonstration plants based on **Proof of Concept** (Projects successfully completed/patented lab scale studies/ bench scale studies carried out through collaborative research/in-house research) with terms & conditions as applicable on case to case basis preferably at NALCO. For scale up proposals, the scale of operation needs to be mentioned.

- 1) Spent Potlining treatment and recovery of valuables for its utilization
- 2) Use of Fly Ash /pond ash for production of wear resistant Ceramic Tiles/Glazed tiles
- 3) Fabrication of a highly porous pervious concrete material from Fly Ash
- 4) Sodic condensate Polishing: Prototype /Pilot unit leading to possible implementation in Refinery

6. AVAILABILITY OF EOI DOCUMENTS:

The bidders/applicant may go through the EoI document for an overview of the scope of work, bidder's qualification criteria, other bidding terms and conditions etc.

- 6.1** Bidders should download the complete set of EoI documents which is available in our website www.nalcoindia.com. EoI documents are also available in the website www.eprocure.gov.in.
- 6.2** Bidders are requested to visit the above websites regularly for corrigendum towards any modification/ addition/ bid due date extension etc. for this EoI, since the same shall not be published in newspapers.

7. Criterion for participating in the Expression of Interest (EoI)/ Qualification Criteria:

- i. (a) The Govt organizations like Universities, IIT, NIT, CSIR, ICAR, ICMR, DRDO, JNARDDC, BARC, S&T Laboratories under Govt of India (GoI).

OR

(b) Laboratory/R&D facilities of the organizations/ companies/ institutes in India that have been certified by Department of Scientific and Industrial research (DSIR) (Proof of valid certificate to be submitted) with positive net worth. Validity of the certificate should be minimum one year from the date of application. For such Institutes financial details to be submitted in the format at **ANNEXURE-3**.

 नालको NALCO CORPORATE OFFICE	DOCUMENT TITLE	REVISION	DOC. PAGE
	INVITATION OF EOI FOR R&D WORKS	0	4 of 11

- ii. In addition to the above criteria, in order to apply for pilot plant/demonstration plant (refer point no 5), the applicant is required to have minimum 7 yrs experience in setting up of pilot plants /demonstration plants anywhere inside India through its own design & engg group/ dept or through a third party. Copies of basic engg drawings & flow sheet or photograph with proper authentication, of existing demonstration plants/pilot plants as proof of experience may be required for discussion if shortlisted.
8. Applicants (Institute and PI) are requested to go through the following & submit required supporting documents.
- Experience of collaborative R&D Projects with National Labs/ International Labs/ PSU/ Govt Agencies along with proof of document to be submitted including commercialization, if any.
 - The institute should furnish details about technical capability (facilities & experts), Intellectual Property Rights (Patent filed/granted), previous experience in R& D.
 - Institute may also submit any other information he desires to furnish on his own to supplement his capabilities pertaining to this EoI.
 - Applicant (any Director of the institute and PI) should not be under liquidation, court receivership or similar proceeding.
 - If approved for placement of work order a certificate may be submitted stating that the applicant or its Proprietor/ Partner(s)/ Director(s) of the firm/ organization have not been convicted by a court of Law for an offence involving moral turpitude in relation to business dealings during the past seven (7) years. The proposer(s) qualifying under clause 7.i.b is required to submit a declaration to this effect. NALCO reserves the right to reject a proposal, if it is determined that any applicant participating in the EoI is engaged in corrupt and fraudulent work practice.
 - Collaborative proposal of two or more institutes/ organizations is acceptable with one institute as the nodal agency. Details of the scope of work of each institute(s) is to be submitted along with endorsement certificate(s) of collaborative institute(s).

9. Other Conditions:

- NALCO reserves the right to withdraw this EoI without notifying the reason.
- NALCO shall not be responsible for any expense incurred by the applicants in connection with the preparation and delivery of their applications or any other expenses incurred as regards the subject EoI.
- Telefax/ E-mail/ CD shall not be accepted if not accompanied with a formal signed documents. NALCO takes no responsibility for any delay/ loss during posting for non-receipt of document sent by Post/ Courier within the due date.
- Mere submission of the proposal/ application does not entitle automatic qualification.
- Proposals received from an individual is not be acceptable.
- Proposals found suitable and shortlisted shall be selected as per NALCO's approved procedure.

 नालको NALCO CORPORATE OFFICE	DOCUMENT TITLE	REVISION	DOC. PAGE
	INVITATION OF EOI FOR R&D WORKS	0	5 of 11

- Any clarification against this EoI can be sought from the designated official(s) only in writing or through e-mail. The applicant(s) are required to send their queries at least 5 working days prior to the due date as given at 10.1. Clarification sought from/ obtained from anybody, other than the designated official shall not be entertained.
- Only the Principal Investigator (PI)/ Co-PI of shortlisted projects shall be called for a presentation before the selection committee at NALCO Bhubaneswar, on a suitable date to be intimated in advance. PI/ Co-PI may be asked for forwarding the presentation in advance & must attend the presentation at their own expense.

10. SUBMISSION OF EOI PROPOSAL:

10.1 Due date for this EoI is 12.11.2019, Duly signed proposals must be submitted so as to be reach the designated place by 15.00 Hrs.

10.2 The applicant(s) is(are) expected to examine all instructions, forms, terms, job requirements and other details in the EOI documents. Failure to furnish complete information as mentioned in the EOI documents or submission of a proposal not substantially responsive to the EOI documents in every respect will be at the bidder's risk and may result in rejection of the proposal.

10.3 Interested parties are to submit their proposals against this Expression of Interest offline along with all requisite details, documents, annexure etc. The hard copy of the EOI proposal in sealed cover are to be sent in the following address, so as to reach on or before the due date as mentioned at 10.1 above.

Mr. Partha Bandopadhyay, AGM (R&D),
National Aluminium Company Limited,
Nalco Bhawan,
P/1, Nayapalli, Bhubaneswar,
Odisha – 751013, INDIA
E-mail: partha.bandopadhyay@nalcoindia.co.in, Mob – 09437055216.

10.4 NALCO may, in exceptional circumstances and at its discretion, extend the deadline for submission of EoI proposals by issuing an addendum to be made available on NALCO's website, in which case all rights and obligations of NALCO and the bidders previously subject to the original deadline will thereafter be subject to the deadline as extended.

10.5 The hard copy of the EoI proposals must contain the following documents:

- i. Covering Letter from the Proposer(s) in the format provided in **Annexure-1**.
- ii. Original/ Photocopy copy of certificates, affidavits, declarations, undertakings etc. sought.
- iii. Details of relevant project experience of the investigator(s), patents in the format (**Annexure-4**) along with the supporting documents.

 नलको NALCO CORPORATE OFFICE	DOCUMENT TITLE	REVISION	DOC. PAGE
	INVITATION OF EOI FOR R&D WORKS	0	6 of 11

- iv. General information about the organization (limited to 400 words) along with other details in the format provided in **Annexure-2**.
- v. Financial details of the organization in the format provided in **Annexure-3**.
- vi. Documentary evidence by way of copies of Agreement/ work orders, work completion certificates, audited Annual Reports containing audited balance sheets; profit loss accounts statements for preceding 3 financial years, Corporate Undertaking etc. in support of fulfilling the Qualification Criteria under clause 7.i.b. Proposals getting qualified under clause 7.i.a are not required to submit these documents.

10.6 NALCO reserves the right to complete the evaluation based on the details furnished in the bid without seeking any additional information/ documents.

10.7 NALCO shall not be responsible for any postal delay and/or misplacement. Late and delayed offers will not be entertained.

11. OPENING OF EoI PROPOSAL:

11.1 The EoI proposals shall be opened on the due date at 15:30 Hrs. (IST).

11.2 NALCO reserves the right to extend due date. In case of extension of the due date, the same shall be hosted in NALCO Website/ CPP Portal. In such case the proposer(s) shall have the right to resubmit a revised proposals, which shall be considered and the proposals submitted earlier shall be ignored.

12. SPECIAL CONDITIONS:

- i. This EoI is issued by NALCO and is intended to only short-list potential innovative projects. NALCO's decision with regard to the short-listing through this EoI shall be final and binding. NALCO reserves the right to reject any or all the proposals without any liability.
- ii. NALCO reserves the right to withdraw this EoI without any liability for such action if it determines that such action is in the best interest of the organization.
- iii. The details given above are for enabling the proposer(s) to submit their response against the EoI. Timing and sequence of events resulting from this EoI shall ultimately be determined by NALCO.
- iv. No oral conversations or agreements with any official or employee of NALCO shall affect or modify any terms of this EoI and any alleged oral agreement or arrangement made by a bidder with any department, official or employee of NALCO shall be superseded by the definitive agreement. Any oral communications or any written materials provided by any person other than the authorized officials (either from the bidder's side or from NALCO) shall not be considered.
- v. Neither the proposer(s) nor any representative shall have any claim whatsoever against NALCO or any of their respective officials, arising out of or relating to this EoI or the procedures thereof.

 नालको NALCO CORPORATE OFFICE	DOCUMENT TITLE	REVISION	DOC. PAGE
	INVITATION OF EOI FOR R&D WORKS	0	7 of 11

- vi. **Right to Contents of this EoI:** All EoI offers along with accompanying documentations received shall become the property of NALCO and will not be returned after opening of the offers/ proposals.
- vii. **Acknowledgement of Understanding of EoI Terms & Conditions:** By submitting an offer against this EoI, proposer(s) shall be deemed to have acknowledged that they have carefully read all sections of this EoI documents, including all forms, schedules along with the annexure and they are fully informed about all conditions and limitations contained in the EoI.
- viii. **Evaluation of the Offers:** The offers received against this EoI shall be evaluated based on the qualification criterion by a committee as per the approved procedure of NALCO. The proposer(s) are required to submit all required documentations in support of the ‘Qualifying Criteria’ specified, such as detailed project citations and completion certificates, client contact information for verification, profiles of project resources and all other documents, required for evaluation.
- ix. **Language of Offers:** The EoI offer along with all correspondence and documents shall be in English.

 नालको NALCO CORPORATE OFFICE	DOCUMENT TITLE INVITATION OF EOI FOR R&D WORKS	REVISION o	DOC. PAGE 8 of 11
--	--	--------------------------	---------------------------------

COVERING LETTER
(On Company/ Institute letterhead)

Date:

To,

Mr.Partha Bandopadhyay, AGM (R&D),
National Aluminium Company Limited,
Nalco Bhawan, P/1,
Nayapalli, Bhubaneswar,
Odisha – 751013, INDIA

Ref.: Expression of interest for R&D Collaboration/Commercialization of Technology.

Dear Sir,

Having examined the Expression of Interest (EoI) the undersigned, on behalf of our company intend to participate in R&D Collaboration with NALCO /commercialization of technology and submit the Qualification requirements proposal in response to the Expression of Interest (EoI) for the said project. We attach here with the response, as required by the EoI, which constitutes our proposal. Primary and Secondary contacts for our company are:

	Primary Contact
Name:	
Title:	
Company Name:	
Address:	
Phone:	
Mobile:	
Fax:	
E Mail:	

I/We confirm that the information contained in this response or any part thereof, including its exhibits and other documents is true, accurate, verifiable and complete. This response includes all information necessary to ensure that the statements therein do not in whole or in part mislead NALCO in its short-listing process. We fully understand and agree to comply that on verification, I/We also understand that incorrect information furnished in this process shall render the bid/ order liable for rejection at any stage of evaluation/ work execution. Our institute agrees for acceptance of all the terms and conditions set out in the EOI documents. It is hereby confirmed that I/We are entitled to act on behalf of our company/ corporation/ firm/ organization and empowered to sign this document as well as such other documents, which may be required in this connection.

Dated this.....Day of.....2019

(Signature and Seal of Company)

(In the capacity of)

 CORPORATE OFFICE	DOCUMENT TITLE	REVISION	DOC. PAGE
	INVITATION OF EOI FOR R&D WORKS	0	9 of 11

DETAILS OF THE ORGANIZATION/ INSTITUTE

Name of organization/ Institute:

Nature of business:

Date of Incorporation/ Establishment:

Other Relevant Information:

Total number of Teaching and Research Faculty with PhD qualification:

 नालको NALCO CORPORATE OFFICE	DOCUMENT TITLE	REVISION	DOC. PAGE
	INVITATION OF EOI FOR R&D WORKS	0	10 of 11

FINANCIAL DETAILS

	FY 2016-17	FY 2017-18	FY 2018-19
Annual turnover			
Net Profit			
Net Worth			
Debt/Equity ratio			

Note- Please submit Audited Annual Reports containing audited balance sheets and profit loss accounts statements for preceding 3 financial years

EXPERIENCE CRITERIA&PROVEN TRACK RECORD

List top five R&D and Industrial consultancy or projects ongoing/completed, if any (Last 3 years)

Name of the Project	Sponsoring Agency	Amount sanctioned (Rs Lakhs)	Amount released (Rs Lakhs)	Duration (in years) From- To	Awards (If any)

Patents, if any (Last 5 Years)

a. Filed (No)/ Application number of relevant Patents, if any:

b. Granted (No)/ Patent number of relevant Patents, if any: