

## **SHAREHOLDING PATTERN FOR THE QUARTER ENDED 31.12.2019**

<b>General information about company</b>	
Scrip code	532234
NSE Symbol	NATIONALUM
MSEI Symbol	NOTLISTED
ISIN	INE139A01034
Name of the company	NATIONAL ALUMINIUM COMPANY LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-12-2019
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

**Declaration**

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	1	960793011			960793011	51.5	960793011		960793011	51.5
(B)	Public	224975	904824487			904824487	48.5	904824487		904824487	48.5
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	224976	1865617498			1865617498	100	1865617498		1865617498	100

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				51.5					960793011
(B)	Public				48.5					902941767
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100					1863734778

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(b)	Central Government/ State Government(s)	1	960793011			960793011	51.5	960793011		960793011	51.5
Sub-Total (A)(1)		1	960793011			960793011	51.5	960793011		960793011	51.5
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		1	960793011			960793011	51.5	960793011		960793011	51.5
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(a)	Mutual Funds	28	178643738			178643738	9.58	178643738		178643738	9.58
(e)	Foreign Portfolio Investors	211	220141589			220141589	11.8	220141589		220141589	11.8
(f)	Financial Institutions/ Banks	9	113153157			113153157	6.07	113153157		113153157	6.07
Sub-Total (B)(1)		248	511938484			511938484	27.44	511938484		511938484	27.44
(3)	Non-institutions										
(a(i))	Individuals - i.Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	218696	166435398			166435398	8.92	166435398		166435398	8.92
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	379	47035936			47035936	2.52	47035936		47035936	2.52
(b)	NBFCs registered with RBI	10	181634			181634	0.01	181634		181634	0.01
(e)	Any Other (specify)	5642	179233035			179233035	9.61	179233035		179233035	9.61
Sub-Total (B)(3)		224727	392886003			392886003	21.06	392886003		392886003	21.06
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		224975	904824487			904824487	48.5	904824487		904824487	48.5
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		224976	1865617498			1865617498	100	1865617498		1865617498	100
		224976	1865617498			1865617498	100	1865617498		1865617498	100



**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(b)				51.5					960793011
Sub-Total (A) (1)				51.5					960793011
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)=(A(1)+(A)(2)				51.5					960793011
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)				9.58					178557138
(e)				11.8					220113389
(f)				6.07					113153157
Sub-Total (B) (1)				27.44					511823684
( 3 )	Non-institutions								
(a(i))				8.92					165464078
(a(ii))				2.52					47035936
(b)				0.01					181634
(e)				9.61					178436435
Sub-Total (B) (3)				21.06					391118083
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				48.5					902941767
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total ( A+B+C2 )				100					1863734778
Total (A+B+C )				100					1863734778

<b>Central Government/ State Government(s)</b>		
Serial No.	1	
Name of the Shareholders (I)	THE PRESIDENT OF INDIA	<a href="#">Click here to go back</a>
PAN (II)	ZZZZZ9999Z	Total
No. of fully paid up equity shares held (IV)	960793011	960793011
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	960793011	960793011
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	51.5	51.5
<b>Number of Voting Rights held in each class of securities (IX)</b>		
Class eg: X	960793011	960793011
Class eg:y		
Total	960793011	960793011
Total as a % of Total Voting rights	51.5	51.5
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and warrants (X)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	51.5	51.5
<b>Number of Locked in shares (XII)</b>		
No. (a)		
As a % of total Shares held (b)		
<b>Number of Shares pledged or otherwise encumbered (XIII)</b>		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	960793011	960793011



Reason for not providing PAN		
Reason for not providing PAN	Textual Information(1)	
Shareholder type		

<b>Text Block</b>	
Textual Information(1)	NOT APPLICABLE

<b>Mutual Funds</b>			
Serial No.	1	2	
Name of the Shareholders (I)	ICICI PRUDENTIAL COMMODITIES FUND	UTI- CORE EQUITY FUND	<a href="#">Click here to go back</a>
PAN (II)	AAAAI0038F	AAATU1088L	Total
No. of fully paid up equity shares held (IV)	119318688	21071738	140390426
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+(V)+ (VI)	119318688	21071738	140390426
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	6.4	1.13	7.53
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	119318688	21071738	140390426
Class eg:y			
Total	119318688	21071738	140390426
Total as a % of Total Voting rights	6.4	1.13	7.53
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	6.4	1.13	7.53
Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	119318688	21071738	140390426
Reason for not providing PAN			
Reason for not providing PAN			



<b>Financial Institutions/ Banks</b>		
Serial No.	1	
Name of the Shareholders (I)	LIC OF INDIA PROFIT PLUS BALANCE FUND	<a href="#">Click here to go back</a>
PAN (II)	AAACL0582H	Total
No. of fully paid up equity shares held (IV)	94104003	94104003
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	94104003	94104003
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	5.04	5.04
<b>Number of Voting Rights held in each class of securities (IX)</b>		
Class eg: X	94104003	94104003
Class eg:y		
Total	94104003	94104003
Total as a % of Total Voting rights	5.04	5.04
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	5.04	5.04
<b>Number of Locked in shares (XII)</b>		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	94104003	94104003
<b>Reason for not providing PAN</b>		
Reason for not providing PAN		

Any Other (specify)							
Serial No.	1	2	3	4	5	6	7
Category	Trusts	Overseas Corporate Bodies	Non-Resident Indian (NRI)	Clearing Members	Others	Others	Others
Category / More than 1 percentage	Category	Category	Category	Category	More than 1 percentage of shareholding	More than 1 percentage of shareholding	Category
Name of the Shareholders (I)					HDFC LIFE INSURANCE COMPANY LIMITED	BAJAJ ALLIANZ LIFE INSURANCE CO. LTD.	Qualified Institutional Buyer
PAN (II)					AAACH8755L	AADCA1701E	
No. of the Shareholders (I)	38	2	2823	256	1	1	9
No. of fully paid up equity shares held (IV)	330001	26400	7598522	12106865	30150620	20072678	76418188
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+(V)+ (VI)	330001	26400	7598522	12106865	30150620	20072678	76418188
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.02	0	0.41	0.65	1.62	1.08	4.1
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	330001	26400	7598522	12106865	30150620	20072678	76418188
Class eg:y							
Total	330001	26400	7598522	12106865	30150620	20072678	76418188
Total as a % of Total Voting rights	0.02	0	0.41	0.65	1.62	1.08	4.1
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.02	0	0.41	0.65	1.62	1.08	4.1
Number of Locked in shares (XII)							

No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	330001	26200	6813922	12106865	30150620	20072678	76418188
Reason for not providing PAN							
Reason for not providing PAN							

<b>Any Other (specify)</b>				
Serial No.	8	9	10	
Category	Bodies Corporate	IEPF	Others	
Category / More than 1 percentage	Category	Category	Category	
Name of the Shareholders (I)			NRI NON-REPATRIATION	<a href="#">Click here to go back</a>
PAN (II)				Total
No. of the Shareholders (I)	1346	1	1167	5642
No. of fully paid up equity shares held (IV)	80478934	231780	2042345	179233035
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+(V)+ (VI)	80478934	231780	2042345	179233035
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	4.31	0.01	0.11	9.61
<b>Number of Voting Rights held in each class of securities (IX)</b>				
Class eg: X	80478934	231780	2042345	179233035
Class eg:y				
Total	80478934	231780	2042345	179233035
Total as a % of Total Voting rights	4.31	0.01	0.11	9.61
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	4.31	0.01	0.11	9.61
<b>Number of Locked in shares (XII)</b>				
No. (a)				
As a % of total Shares held (b)				
Number of equity shares held in	80467134	231780	2042345	178436435



dematerialized form (XIV)				
Reason for not providing PAN				
Reason for not providing PAN				

Sr. No. Details of the SBO Details of the registered owner Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect\* Date of creation / acquisition of significant beneficial interest Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Whether by virtue of: Shares Voting rights Rights on distributable dividend or any other distribution Exercise of control Exercise of significant influence