



FORM NO. MGT-9
Extract of Annual Return
as on the financial year ended on 2016-17

[Pursuant to section 92(3) of the Companies Act, 2013 and rule 12(1) of the Companies (Management and Administration) Rules, 2014]

I. REGISTRATION AND OTHER DETAILS:

- i) CIN : L27203OR1981GOI000920
 ii) Registration Date : 7th JANUARY 1981
 iii) Name of the Company : NATIONAL ALUMINIUM COMPANY LTD
 iv) Category/Sub-Category of the Company : PUBLIC SECTOR COMPANY LIMITED BY SHARES
 v) Address of the Registered office and contact details : NATIONAL ALUMINIUM COMPANY LTD,
 NALCO BHAWAN, NAYAPALLI,
 BHUBANESWAR-751013,
 website: www.nalcoindia.com
 vi) Whether listed company : Yes
 vii) Name, Address and Contact details of Registrar and Transfer Agent, if any : M/s Karvy Computer Share Private Limited.
 Karvy Selenium Tower B, Plot No.31-32,
 Gachibowli, Financial District,
 Nanakramguda, Hyderabad-500032, Telangana
 Tel. No. 040-67161500, Fax No. 040-23420814
 Toll Free No.18003454001, Email: einward.ris@karvy.com,
 Website: www.karvycomputershare.com

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

All the business activities contributing 10% or more of the total turnover of the company shall be stated:-

Sl.No.	Name and Description of main products/ services	NIC Code of the Product/ service	% to total turnover of the company
1	Alumina*	201	32.58
2	Aluminium	242	66.46
3	Power**	351	0.96

*Alumina includes Alumina Hydrate, Special Grade Hydrate, Special Grade Alumina and other Chemicals.

** Power includes Renewable energy (Wind Power)

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES

Sl. No.	Name and address of the company	Holding/subsidiary/Associate	%of shares held	Applicable Section
1.	NPCIL- NALCO Power Company Ltd 16th floor, Centre-1, World Trade Centre, Cuffe Parade, Colaba, Mumbai-400005	Associate	26	2(6)
2.	Angul Aluminium Park Pvt Ltd IDCO Tower, Janpath, Bhubaneswar-751022	Associate	49	2(6)
3.	GACL- NALCO Alkalis & Chemicals Pvt.Ltd.	Associates	40	2(6)



IV. SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)

Category-wise Shareholding

Category of Shareholders	No. of Shares held at the beginning of the year				No. of Shares held at the end of the year				% Change during theyear
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
(A) Promoters									
(1) Indian									
(a) Individual/ HUF									
(b) Central Govt	2085782622	-	2085782622	80.93	1441482490	0	1441482490	74.58	(6.35)
(c) State Govt(s)	-	-	-	-					
(d) Bodies Corp.	-	-	-	-					
(e) Banks / FI	-	-	-	-					
(f) Any Other	-	-	-	-					
Sub-total(A)(1)									
(2) Foreign									
(a) NRIs - Individuals	-	-	-	-					
(b) Other - Individuals	-	-	-	-					
(c) Bodies Corp.	-	-	-	-					
(d) Banks / FI	-	-	-	-					
(e) Any Other	-	-	-	-					
Sub-total (A) (2)									
Total shareholding of Promoter(A) = (A)(1)+(A)(2)	2085782622	-	2085782622	80.93	1441482490	0	1441482490	74.58	(6.35)
(B) Public shareholding									
(1) Institutions									
(a) Mutual Funds	14451621	88600	14540221	0.56	22669470	88600	22758070	1.18	0.62
(b) Banks / FI	243124171	0	243124171	9.43	231609087	0	231609087	11.98	2.55
(c) Central Govt									
(d) State Govt(s)									
(e) Venture Capital Funds									
(f) Insurance Companies	0	1600	1600	0.00	0	1600	1600	0.00	0
(g) FIs	55365909	30200	55396109	2.15	77246858	30200	77277058	4.00	1.85
(h) Foreign Venture Capital Funds									
(i) Others(specify)									
Sub-total(B)(1)	312941701	120400	313062101	12.15	331525415	120400	331645815	17.16	5.01
(2) Non- Institutions									
(a) Bodies Corp.									
i) Indian	111205802	17200	111223002	4.32	102363062	17200	102380262	5.30	0.98
ii) Overseas									
(b) Individuals									
i) Individual shareholders holding nominal share capital upto Rs. 1 lakh	47109153	1571656	48680809	1.89	38564017	1530930	40094947	2.07	0.18
ii) Individual shareholders holding nominal share capital in excess of Rs 1 lakh	14228739	0	14228739	0.55	11258013	0	11258013	0.58	0.03
(c) Others (specify)	3474839	786400	4261239	0.17	5280957	786400	6067357	0.31	0.14
Sub-total (B)(2)	176018533	2375256	178393789	6.92	157466049	2334530	159800579	8.27	1.35
Total Public Shareholding (B) = (B)(1)+(B)(2)	488960234	2495656	491455890	19.07	488991464	2454930	491446394	25.42	6.35
C. Shares held by Custodian for GDRs & ADRs									
Grand Total(A+B+C)	2574742856	2495656	2577238512	100	1930473954	2454930	1932928884	100	



(ii) Shareholding of Promoters

Sl No.	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in share holding during the year
		No. of Shares	% of total Shares of the company	% of Shares Pledged/ encumbered to total shares	No. of Shares	% of total Shares of the company	% of Shares Pledged/ encumbered to total shares	
I	President of India	2085782622	80.93	-	1441482490	74.58	-	6.35
	Total	2085782622	80.93	-	1441482490	74.58	-	6.35

(iii) Change in Promoters' Shareholding (please specify, if there is no change)

Sl No.		Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
	At the beginning of the year	2085782622	80.93		74.58
	Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer/ bonus / sweat equity etc):	64,43,00,132 Buyback of shares	25%	1441482490	74.58
	At the End of the year			1441482490	74.58

(iv) Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and Holders of GDRs and ADRs):

Sl. No.	For Each of the Top 10 Shareholders	Shareholding at the beginning of the year (as on 31.03.2016)		Cumulative Shareholding during the year (as on 31.03.2017)	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1.	Life Insurance Corporation of India	177316005	6.88	177123674	9.16
2.	Bajaj Allianz Life Insurance Company Ltd	31602297	1.23	29218221	1.51
3.	Hindalco Industries Ltd	28384938	1.10	28384938	1.47
4.	Government Pension fund Global	0	0	11851369	0.61
5.	General Insurance Corporation of India	11009536	0.43	10000000	0.52
6.	LIC of India Market Plus I Growth Fund	9987308	0.39	9987308	0.52
7.	LIC Of India Profit Plus Growth Fund	9324184	0.36	9324184	0.48
8.	HDFC Standard Life Insurance Company Limited	6144500	0.24	6248500	0.32
9.	Vanguard Emerging Markets Stock Index Fund, Aseries of Vanguard International Equity Fund	0	0	6221247	0.32
10.	The New India Assurance Company Limited	7139876	0.28	6039876	0.31

The shares of the company are traded on a daily basis and hence the date wise increase/decrease in shareholding is not indicated.

(v) **Shareholding of Directors and Key Managerial Personnel:**

Sl. No.	For Each of the Directors and KMP	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1.	Dr. T.K.Chand, CMD	Nil	-	Nil	-
2.	Shri K C Sarnal Director (Fin)	400	-	400	-
3.	Shri V Balasubramanyam, Director (Prod)	5260	-	Nil	-
4.	Shri B K Thakur	Nil	-	Nil	-
5.	Shri S K Roy	5659	-	5659	-
6.	Shri K N Ravindra, Company Secretary	2260	-	260	-

V. **INDEBTEDNESS****Indebtedness of the Company including interest outstanding/accrued but not due for payment**

Indebtedness at the beginning of the financial year	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
i) Principal Amount	Nil			
ii) Interest due but not paid	Nil	Nil	Nil	Nil
iii) Interest accrued but not due	Nil	Nil	Nil	Nil
Total(i+ii+iii)	Nil	Nil	Nil	Nil
Change in Indebtedness during the financial year	Nil	Nil	Nil	Nil
- Addition	Nil	Nil	Nil	Nil
- Reduction	Nil	Nil	Nil	Nil
Net Change	Nil	Nil	Nil	Nil
Indebtedness at the end of the financial year	Nil	Nil	Nil	Nil
i) Principal Amount	Nil	Nil	Nil	Nil
ii) Interest due but not paid	Nil	Nil	Nil	Nil
iii) Interest accrued but not due	Nil	Nil	Nil	Nil
Total(i+ii+iii)	Nil	Nil	Nil	Nil

Note: Short term borrowings as reported in the financial statements represents bills discounted but not collected by the bank as on the reporting date.

VI. **REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL****A. Remuneration to Managing Director, Whole-time Directors and/or Manager:**

Sl. no.	Particulars of Remuneration	Name of MD/WTD/ Manager								Total Amount
		Dr. T.K.Chand CMD	Shri K.C.Sarnal, Director(F)	Shri V Balasubramanyam Director (Prod)	Shri B.K.Thakur Director (HR)	Shri S.K. Roy, Director (P&T) w.e.f 03.02.2017	Shri S.C. Pathy, Director (HR) Upto 30.06.2016	Smt Soma Mandal Director(C) Upto 28.02.2017	Shri N.R. Mohanty, Director (P&T) upto 31.01.2017	
I.	Gross salary									
(a)	Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	4,542,071	4,079,548	3,613,432	2,344,982	5,42,513	3,232,875	4,086,493	5,465,453	27,907,367
(b)	Value of perquisites u/s 17(2) Income-tax Act, 1961	18,000	26,675	2,33,677	68,945	3,000	1,43,795	4,03,095	1,66,881	1,064,068
(c)	Profits in lieu of salary under section 17(3) Income-tax Act, 1961									



Sl. no.	Particulars of Remuneration	Name of MD/WTD/ Manager								
		Dr. T.K.Chand CMD	Shri K.C.Samal, Director(F)	Shri V Balasubramanyam Director (Prod)	Shri B.K.Thakur Director (HR)	Shri S.K Roy, Director (P&T) w.e.f 03.02.2017	Shri S.C. Padhy, Director (HR) Upto 30.06.2016	Smt Soma Mondal Director(C) Upto 28.02.2017	Shri N.R. Mohanty, Director (P&T) upto 31.01.2017	Total Amount
2.	Stock Option									
3.	Sweat Equity									
4.	Commission - as % of profit									
	- others, specify									
5.	Others, please specify									
	Total (A)	4,560,071	4,106,223	3,847,109	2,413,927	5,45,513	3,376,670	4,489,588	5,632,334	28,971,435
	Ceiling as per the Act									

B. Remuneration to other directors:

Sl. no.	Particulars of Remuneration	Name of Directors						
		Shri S Sankaraman	Shri P K Nayak	Shri M Sahu	Shri D Mahanta	Prof. D Acharya	Ms. Kiran Ghai Sinha w.e.f 03.02.17	Total
3	Independent Directors							
	- Fee for attending board committee meetings	3,80,000	4,00,000	2,00,000	5,20,000	3,60,000	20,000	18,80,000
	- Commission							
	- Others, please specify							
	Total (1)	3,80,000	4,00,000	2,00,000	5,20,000	3,60,000	20,000	18,80,000
4.	Other Non-Executive Directors							
	- Fee for attending board committee meetings							
	- Commission							
	- Others, please specify							
	Total (2)							
	Total (B)=(1+2)	3,80,000	4,00,000	2,00,000	5,20,000	3,60,000	20,000	18,80,000
	Total Managerial Remuneration							
	Overall Ceiling as per the Act							

C. Remuneration to Key Managerial Personnel other than MD/ Manager/ WTD

Sl. no.	Particulars of Remuneration	Key Managerial Personnel			
		CEO	Company Secretary	CFO	Total
			Shri K N Ravindra		
1.	Gross salary				
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961		3,672,078		3,672,078
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961		21,951		21,951
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961				
2.	Stock Option				
3.	Sweat Equity				
4.	Commission				
	- as % of profit				
	- others, specify...				
5.	Others, please specify				
	Total		3,694,029		3,694,029



VII. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES:

Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment / Compounding fees imposed	Authority [RD/NCLT/ COURT]	Appeal made, if any (give details)
A. Company					
Penalty			NIL		
Punishment					
Compounding					
B. Directors					
Penalty			NIL		
Punishment					
Compounding					
C. Other Officers in Default					
Penalty			NIL		
Punishment					
Compounding					

