



FORM NO. MGT-9

ANNEXURE-VII

EXTRACT OF ANNUAL RETURN

as on the financial year ended on 31.03.2018

[Pursuant to Section 92(3) of the Companies Act, 2013 and Rule 12(1) of the Companies (Management and Administration) Rules, 2014]

I. REGISTRATION AND OTHER DETAILS:

- i) CIN:- L27203OR1981GOI000920
- ii) Registration Date: 7th January 1981
- iii) Name of the Company: NATIONAL ALUMINIUM COMPANY LTD
- iv) Category / Sub-Category of the Company: Public Sector Company Limited by Shares
- v) Address of the Registered office and contact details: NALCO BHAWAN, PLOT NO P/1, NAYAPALLI, BHUBANESWAR-751013, ODISHA, INDIA
- vi) Whether listed company: Yes
- vii) Name, Address and Contact details of Registrar and Transfer Agent, if any:
M/s. Karvy Computershare Private Limited. , Karvy Selenium Tower B, Plot No.31-32, Gachibowli, Financial District, Nanakramguda, Hyderabad-500032. Telangana , Tel. No. 040-67161500, Fax No. 040-23420814, Email: einward.ris@karvy.com

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

All the business activities contributing 10 % or more of the total turnover of the company shall be stated:-

Sl. No.	Name and Description of main products/services	NIC Code of the Product/ service	% to total turnover of the company
1	Alumina	201	32.26
2	Aluminium	242	62.68
3	Power	351	0.90

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES

Sl. No.	NAME AND ADDRESS OF THE COMPANY	CIN/GLN	HOLDING/ SUBSIDIARY/ ASSOCIATE	% of shares held	Applicable Section
1	NPCIL-NALCO Power Company Ltd. 16th floor, Centre-1, Cuffe Parade, Colaba, Mumbai-400005	U40300MH2012GOI227632	Associate	26	2(6)
2	Angul Aluminium Park Pvt Ltd. IDCO Tower, Janpath. Bhubaneswar-751022	U27203OR2010PTC012284	Associate	49	2(6)
3	GACL-NALCO Alkalies & Chemicals Pvt. Ltd. GACL Corporate Building, P.O. Petrochemicals, Vadodara, Gujarat-391346	U24100GJ2015PTC085247	Associate	40	2(6)

IV. SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)

i) Category-wise Share Holding

Category of Shareholders	No. of Shares held at the beginning of the year (As on 31st March 2017)				No. of Shares held at the end of the year (As on 31st March 2018)				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
A. Promoters									
(1) Indian									
a) Individual/ HUF									
b) Central Govt	1,44,14,82,490	—	1,44,14,82,490	74.58	1,16,37,17,107	—	1,16,37,17,107	60.20	(14.38)
c) State Govt (s)	—	—	—	—	—	—	—	—	—



Category of Shareholders	No. of Shares held at the beginning of the year (As on 31st March 2017)				No. of Shares held at the end of the year (As on 31st March 2018)				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
d) Bodies Corp.	—	—	—	—	—	—	—	—	—
e) Banks/FI	—	—	—	—	—	—	—	—	—
f) Any Other..	—	—	—	—	—	—	—	—	—
Sub-total (A) (1):-	1,44,14,82,490	—	1,44,14,82,490	74.58	1,16,37,17,107	—	1,16,37,17,107	60.20	(14.38)
(2) Foreign	—	—	—	—	—	—	—	—	—
a) NRIs - Individuals	—	—	—	—	—	—	—	—	—
b) Other -Individuals	—	—	—	—	—	—	—	—	—
c) Bodies Corp.	—	—	—	—	—	—	—	—	—
d) Banks / FI	—	—	—	—	—	—	—	—	—
e) Any Other...	—	—	—	—	—	—	—	—	—
Sub-total (A) (2):-	—	—	—	—	—	—	—	—	—
Total shareholding of Promoter (A) = (A)(1)+(A)(2)	1,44,14,82,490	—	1,44,14,82,490	74.58	1,16,37,17,107	—	1,16,37,17,107	60.20	(14.38)
B. Public Shareholding									
1. Institutions									
a) Mutual Funds	2,26,69,470	88,600	2,27,58,070	1.18	18,99,07,852	88,600	18,99,96,452	9.83	8.65
b) Banks/FI	23,16,09,087	—	23,16,09,087	11.98	22,00,71,816	—	22,00,71,816	11.39	(0.59)
c) Central Govt									
d) State Govt(s)									
e) Venture Capital Funds									
f) Insurance Companies	—	1,600	1,600	—	—	1,600	1,600	—	—
g) FIIs	7,72,46,858	30,200	7,72,77,058	4	12,38,46,814	26,800	12,38,73,614	6.41	2.41
h) Foreign Venture Capital Funds									
i) Others (specify)									
Sub-total (B)(1):	33,15,25,415	1,20,400	33,16,45,815	17.16	53,38,26,482	1,17,000	53,39,43,482	27.62	10.47
2. Non-Institutions									
a) Bodies Corp.									
i) Indian	10,23,63,062	17,200	10,23,80,262	5.30	12,66,71,092	14,200	12,66,85,292	6.55	1.25
ii) Overseas	—	—	—	—	—	—	—	—	—
b) Individuals									
i) Individual Shareholders holding nominal share capital upto ₹ 1 lakh	3,85,64,017	15,30,930	4,00,94,947	2.07	7,41,45,598	13,30,858	7,54,76,456	3.90	1.83
ii) Individual Shareholders holding nominal share capital in excess of ₹ 1 lakh	1,12,58,013	—	1,12,58,013	0.58	2,38,02,771	—	2,38,02,771	1.23	0.65
c) Others (specify)	52,80,957	7,86,400	60,67,357	0.31	85,18,776	7,85,000	93,03,776	0.48	0.17
Sub-total (B)(2):-	15,74,66,049	23,34,530	15,98,00,579	8.27	23,31,38,237	21,30,058	23,52,68,295	12.17	3.90
Total Public Shareholding (B) = (B)(1) + (B)(2)	48,89,91,464	24,54,930	49,14,46,394	25.42	76,69,64,719	22,47,058	76,92,11,777	39.80	14.38
C. Shares held by Custodian for GDRs & ADRs	—	—	—	—	—	—	—	—	—
Grand Total (A+B+C)	1,93,04,73,954	24,54,930	1,93,29,28,884	100	1,93,06,81,826	22,47,058	1,93,29,28,884	100	—



(ii) Shareholding of Promoters

Sl. No.	Shareholder's Name	Shareholding at the beginning of the year			Share holding at the end of the year			% change in share holding during the year
		No. of Shares	% of total Shares of the company	% of Shares Pledged/ encumbered to total shares	No. of Shares	% of total Shares of the company	% of Shares Pledged/ encumbered to total shares	
1	The President of India	1,44,14,82,490	74.58	—	1,16,37,17,107	60.20	—	(14.38)
	Total	1,44,14,82,490	74.58	—	1,16,37,17,107	60.20	—	(14.38)

(iii) Change in Promoters' Shareholding (please specify, if there is no change)

Sl. No.		Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
	At the beginning of the year	1,44,14,82,490	74.58		
1	19.04.2017 – Offer for Sale	14,24,55,941	7.37	1,29,90,26,549	67.21
2	20.04.2017 – Offer for Sale	3,56,13,986	1.85	1,26,34,12,563	65.36
3	21.11.2017 – Employee Offer	76,17,057	0.40	1,25,57,95,506	64.96
4	21.11.2017 – Bharat 22 ETF	9,20,78,399	4.76	1,16,37,17,107	60.20
5	At the End of the year			1,163,7,17,107	60.20

(iv) Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and Holders of GDRs and ADRs):

Sl. No.	For Each of the Top 10 Shareholders	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1	Life Insurance Corporation of India.	17,71,23,674	9.16	15,84,31,120	8.2
2	Bharat 22 ETF	—	—	4,77,68,312	2.47
3	Government Pension Global Fund	1,18,51,369	0.61	2,85,29,841	1.48
4	Reliance Capital Trustee Company Limited A/C Reliance Growth Fund	—	—	21084563	1.09
5	Hindalco Industries Limited	2,83,84,938	1.47	1,83,85,327	0.95
6	UTI-MID CAP Fund	—	—	1,64,64,258	0.85
7	Renuka Investments & Finance Limited.	—	—	1,64,18,964	0.85
8	HDFC Standard Life Insurance Company Limited	62,48,500	0.32	1,46,36,302	0.76
9	Bajaj Allianz Life Insurance Company Limited	2,92,18,221	1.51	1,43,50,874	0.74
10	Renukeswar Investment and Finance Ltd.	—	—	1,28,14,264	0.66
11	General Insurance Corporation of India	1,00,00,000	0.52	1,14,00,000	0.58
12	LIC of India Market Plus I Growth Fund	99,87,308	0.52	99,87,308	0.51
13	LIC of India Profit Plus Growth Fund	93,24,184	0.48	77,46,544	0.40
14	Vanguard Emerging Market Stock Index Fund, Aseries of Vanguard International Equity Fund	62,21,247	0.32	76,07,445	0.39
15	The New India Assurance Company Limited	60,39,876	0.31	1,18,39,876	0.61

The shares of the Company are traded on a daily basis and hence the date wise increase / decrease in shareholding is not indicated.



(v) Shareholding of Directors and Key Managerial Personnel:

Sl. No.	For Each of the Directors and KMP	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1	Dr. T. K. Chand, CMD	—	—	—	—
2	Shri K.C. Samal, D(F)	400	—	400	—
3	Shri V. Balasubramanyam, D(P)	—	—	3016	—
4	Shri B.K.Thakur, D(HR)	—	—	—	—
5	Shri S.K. Roy, D(P&T)	5659	—	8275	—
6	Shri P.K. Mishra, D(C)	—	—	—	—
7	Shri N.K. Mohanty, Company Secretary	—	—	3016	—

V. INDEBTEDNESS

Indebtedness of the Company including interest outstanding/accrued but not due for payment

	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year				
i) Principal Amount	NIL	NIL	NIL	NIL
ii) Interest due but not paid				
iii) Interest accrued but not due				
Total (i+ii+iii)	NIL	NIL	NIL	NIL
Change in Indebtedness during the financial year				
• Addition	NIL	NIL	NIL	NIL
• Reduction				
Net Change	NIL	NIL	NIL	NIL
Indebtedness at the end of the financial year				
i) Principal Amount	NIL	NIL	NIL	NIL
ii) Interest due but not paid				
iii) Interest accrued but not due				
Total (i+ii+iii)	NIL	NIL	NIL	NIL

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

Sl. No.	Particulars of Remuneration	Name of MD/WTD/ Manager					Total Amount (₹)
		Dr. Tapan Kumar Chand	Shri K C Samal	Shri V Balasubramanyam	Shri B K Thakur	Shri S K Roy	
		CMD	Director (Finance)	Director (Production)	Director (HR)	Director (P & T)	
1.	Gross salary	46,72,530	53,45,378	48,15,478	42,54,081	56,13,390	24,700,857
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	—	—	3,15,267	1,05,192	—	4,20,459
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	—	—	—	—	—	—
	(c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961	—	—	—	—	—	—



Sl. No.	Particulars of Remuneration	Name of MD/WTD/ Manager					Total Amount (₹)
		Dr. Tapan Kumar Chand	Shri K C Samal	Shri V Balasubramanyam	Shri B K Thakur	Shri S K Roy	
	DESIGNATION	CMD	Director (Finance)	Director (Production)	Director (HR)	Director (P & T)	
2.	Stock Option	—	—	—	—	—	—
3.	Sweat Equity	—	—	—	—	—	—
4.	Commission - as % of profit - others, specify...	—	—	—	—	—	—
5.	Others, please specify	—	—	—	—	—	—
	Total (A)	46,72,530	53,45,378	51,30,745	43,59,273	56,13,390	2,51,21,316
	Ceiling as per the Act						

B. Remuneration to other directors:

Sl. No.	Particulars of Remuneration	Name of Directors								Total Amount (₹)
		Shri Dipankar Mahanta	Shri S. Sankararaman	Shri P. K. Nayak	Prof. D. Acharya	Shri Maheswar Sahu	Smt. Kiran Ghai Sinha	Shri N N Sharma	Smt. Achla Sinha	
1.	Independent Directors									
	• Fee for attending board committee meetings	5,20,000	4,20,000	4,80,000	4,80,000	3,20,000	3,00,000	60,000	40,000	26,20,000
	• Commission	—	—	—	—	—	—	—	—	—
	• Others, please specify	—	—	—	—	—	—	—	—	—
	Total (1)	5,20,000	4,20,000	4,80,000	4,80,000	3,20,000	3,00,000	60,000	40,000	26,20,000
2.	Other Non-Executive Directors									
	• Fee for attending board committee meetings	—	—	—	—	—	—	—	—	—
	• Commission	—	—	—	—	—	—	—	—	—
	• Others, please specify	—	—	—	—	—	—	—	—	—
	Total (2)									
	Total (B) = (1 + 2)	5,20,000	4,20,000	4,80,000	4,80,000	3,20,000	3,00,000	60,000	40,000	26,20,000
	Total Managerial Remuneration									
	Overall Ceiling as per the Act									

C. Remuneration to key managerial personnel other than MD/Manager/WTD

Sl. No.	Particulars of Remuneration	Key Managerial Personnel				Total (₹)
		CEO	Company Secretary		CFO	
			Shri K. N. Ravindra (up to 31-05-2017)	Shri N. K. Mohanty (w.e.f 01-06-2017)		
1.	Gross salary					
(a)	Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	—	15,90,584	40,39,697	—	56,30,281
(b)	Value of perquisites u/s 17(2) Income-tax Act, 1961	—	1,03,026	70,510	—	1,73,536
(c)	Profits in lieu of salary under section 17(3) Income-tax Act, 1961	—	—	—	—	—



Sl. No.	Particulars of Remuneration	Key Managerial Personnel				Total (₹)
		CEO	Company Secretary		CFO	
			Shri K. N. Ravindra (up to 31-05-2017)	Shri N. K. Mohanty (w.e.f 01-06-2017)		
2.	Stock Option	—	—	—	—	—
3.	Sweat Equity	—	—	—	—	—
4.	Commission					
	as % of profit		—	—	—	—
	others, specify...					
5.	Others, please specify	—	—	—	—	—
	Total	—	16,93,610	41,10,207	—	58,03,817

VII. PENALTIES/PUNISHMENT/COMPOUNDING OF OFFENCES

Type	Section of the Companies Act	Brief Description	Details of Penalty/ Punishment/ Compounding fees imposed	Authority [RD/NCLT/ COURT]	Appeal made, if any (give Details)
A. COMPANY					
Penalty			NIL		
Punishment					
Compounding					
B. DIRECTORS					
Penalty			NIL		
Punishment					
Compounding					
C. OTHER OFFICERS IN DEFAULT					
Penalty			NIL		
Punishment					
Compounding					