

**FORM NO. MGT.9**  
**EXTRACT OF ANNUAL RETURN**  
**as on the financial year ended on 31.03.2019**

*[Pursuant to section 92(3) of the Companies Act, 2013 and rule 12(1) of the Companies (Management and Administration) Rules, 2014]*

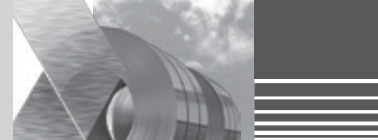
**I. REGISTRATION AND OTHER DETAILS:**

- i) CIN:- L27203OR1981GOI000920
- ii) Registration Date: 7th January 1981
- iii) Name of the Company: NATIONAL ALUMINIUM COMPANY LTD
- iv) Category / Sub-Category of the Company: Public Sector Company Limited by Shares
- v) Address of the Registered office and contact details:  
 NALCO BHAWAN, PLOT NO P/1, NAYAPALLI,  
 BHUBANESWAR, ODISHA-751013, INDIA
- vi) Whether listed company: Yes
- vii) Name, Address and Contact details of Registrar and Transfer Agent, if any:  
 M/s. Karvy Fintech Private Limited. ,  
 Karvy Selenium Tower B, Plot No.31-32, Gachibowli,  
 Financial District, Nanakramguda, Hyderabad-500032.  
 Telangana , Tel. No. 040-67161500, Fax No. 040-23420814,  
 Toll Free No.18003454001  
 Email: einward.ris@karvy.com  
 Website: www.karvyfintech.com

**II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY**

All the business activities contributing 10 % or more of the total turnover of the company shall be stated:-

Sl. No.	Name and Description of main products/services	NIC Code of the Product/service	% to total turnover of the company
1	Alumina	201	37.50
2	Aluminium	242	57.70
3	Power	351	1.07



III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES

SL. NO.	NAME AND ADDRESS OF THE COMPANY	CIN/GLN	HOLDING/ SUBSIDIARY/ ASSOCIATE	% of shares held	Applicable Section
1	NPCIL-NALCO Power Company Ltd. 16 <sup>th</sup> Floor, Centre-1, Cuffe Parade, Colaba, Mumbai-400005	U40300MH2012GOI227632	Associate	26	2(6)
2	Angul Aluminium Park Pvt. Ltd. IDCO Tower, Janpath, Bhubaneswar-751022	U27203OR2010PTC012284	Associate	49	2(6)
3	GACL-NALCO Alkalis & Chemicals Pvt. Ltd. GACL Corporate Building, P.O. Petrochemicals, Vadodra, Gujarat-391346	U24100GJ2015PTC085247	Associate	40	2(6)

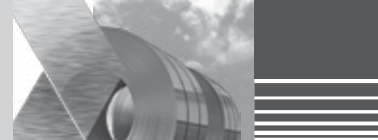
IV. SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)

i) Category-wise Share Holding

Category of Shareholders	No. of Shares held at the beginning of the year (As on 31 <sup>st</sup> March 2018)				No. of Shares held at the end of the year (As on 31 <sup>st</sup> March 2019)				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
<b>A. Promoters</b>									
(1) Indian	—	—	—	—	—	—	—	—	—
a) Individual/ HUF	—	—	—	—	—	—	—	—	—
b) Central Govt.	1,16,37,17,107	—	1,16,37,17,107	60.20	970,081,517	—	970,081,517	52	-8.20
c) State Govt. (s)	—	—	—	—	—	—	—	—	—
d) Bodies Corp.	—	—	—	—	—	—	—	—	—
e) Banks/FI	—	—	—	—	—	—	—	—	—
f) Any Other..	—	—	—	—	—	—	—	—	—
<b>Sub-total (A) (1):-</b>	<b>1,16,37,17,107</b>	<b>—</b>	<b>1,16,37,17,107</b>	<b>60.20</b>	<b>970,081,517</b>	<b>—</b>	<b>970,081,517</b>	<b>52</b>	<b>-8.20</b>
(2) Foreign	—	—	—	—	—	—	—	—	—
a) NRIs-Individuals	—	—	—	—	—	—	—	—	—
b) Other - Individuals	—	—	—	—	—	—	—	—	—
c) Bodies Corp.	—	—	—	—	—	—	—	—	—
d) Banks / FI	—	—	—	—	—	—	—	—	—
e) Any Other...	—	—	—	—	—	—	—	—	—
<b>Sub-total (A) (2):-</b>	<b>—</b>	<b>—</b>	<b>—</b>	<b>—</b>	<b>—</b>	<b>—</b>	<b>—</b>	<b>—</b>	<b>—</b>
<b>Total shareholding of Promoter (A) = (A)(1)+(A)(2)</b>	<b>1,16,37,17,107</b>	<b>—</b>	<b>1,16,37,17,107</b>	<b>60.20</b>	<b>970,081,517</b>	<b>—</b>	<b>970,081,517</b>	<b>52</b>	<b>-8.20</b>

# EXTRACT OF ANNUAL RETURN

Category of Shareholders	No. of Shares held at the beginning of the year (As on 31 <sup>st</sup> March 2018)				No. of Shares held at the end of the year (As on 31 <sup>st</sup> March 2019)				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
<b>B. Public Shareholding</b>									
<b>1. Institutions</b>									
a) Mutual Funds	18,99,07,852	88,600	18,99,96,452	9.83	21,82,61,850	86,600	21,83,48,450	11.70	1.87
b) Banks/FI	22,00,71,816	—	22,00,71,816	11.39	14,97,89,962	0	14,97,89,962	8.03	-3.36
c) Central Govt.	—	—	—	—	—	—	—	—	—
d) State Govt.(s)	—	—	—	—	—	—	—	—	—
e) Venture Capital Funds	—	—	—	—	—	—	—	—	—
f) Insurance Companies	—	1,600	1,600	—	—	1,600	1,600	—	—
g) FIIs	12,38,46,814	26,800	12,38,73,614	6.41	22,23,55,936	26,800	22,23,82,736	11.92	5.51
h) Foreign Venture Capital Funds	—	—	—	—	—	—	—	—	—
i) Others (specify)	—	—	—	—	—	—	—	—	—
<b>Sub-total (B)(1):-</b>	<b>53,38,26,482</b>	<b>1,17,000</b>	<b>53,39,43,482</b>	<b>27.62</b>	<b>59,04,07,748</b>	<b>1,15,000</b>	<b>59,05,22,748</b>	<b>31.65</b>	<b>4.03</b>
<b>2. Non-Institutions</b>									
a) Bodies Corp.									
i) Indian	12,66,71,092	14,200	12,66,85,292	6.55	12,41,82,646	11,800	12,41,94,446	6.66	0.10
ii) Overseas	—	—	—	—	—	—	—	—	—
b) Individuals									
i) Individual shareholders holding nominal share capital up to ₹1 lakh	7,41,45,598	13,30,858	7,54,76,456	3.90	11,43,16,912	10,58,792	11,53,75,704	6.18	2.28
ii) Individual shareholders holding nominal share capital in excess of ₹1 lakh	2,38,02,771	—	2,38,02,771	1.23	4,65,03,104	0	4,65,03,104	2.49	1.26
c) Others (specify)	85,18,776	7,85,000	93,03,776	0.48	1,81,54,979	7,85,000	1,89,39,979	1.02	0.54
<b>Sub-total (B)(2):-</b>	<b>23,31,38,237</b>	<b>21,30,058</b>	<b>23,52,68,295</b>	<b>12.17</b>	<b>30,31,57,641</b>	<b>18,55,592</b>	<b>30,50,13,233</b>	<b>16.35</b>	<b>4.18</b>
<b>Total Public Shareholding (B) = (B)(1) + (B)(2)</b>	<b>76,69,64,719</b>	<b>22,47,058</b>	<b>76,92,11,777</b>	<b>39.80</b>	<b>89,35,65,389</b>	<b>19,70,592</b>	<b>89,55,35,981</b>	<b>48.00</b>	<b>-8.21</b>
C. Shares held by Custodian for GDRs & ADRs	—	—	—	—	—	—	—	—	—
<b>Grand Total (A+B+C)</b>	<b>1,93,06,81,826</b>	<b>22,47,058</b>	<b>1,93,29,28,884</b>	<b>100</b>	<b>1,86,36,46,906</b>	<b>19,70,592</b>	<b>1,86,56,17,498</b>	<b>100</b>	



(ii) Shareholding of Promoters

Sl. No.	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in shareholding during the year
		No. of Shares	% of total Shares of the company	% of Shares Pledged/ encumbered to total shares	No. of Shares	% of total Shares of the company	% of Shares Pledged/ encumbered to total shares	
1.	THE PRESIDENT OF INDIA	1,163,717,107	60.20	—	970081517	52	—	-8.20

(iii) Change in Promoters' Shareholding (please specify, if there is no change)

Sl. No.		Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
	<i>At the beginning of the year</i>	1,163,717,107	60.20	—	—
		6,98,88,827	3.62	1093828280	56.59
		3,47,60,440	1.86	1059067840	56.77
		8,89,86,323	4.77	970081517	52
	<i>At the end of the year</i>	—	—	970081517	52

(iv) Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and Holders of GDRs and ADRs):

Sl. No.	For Each of the Top 10 Shareholders	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1	LIFE INSURANCE CORPORATION OF INDIA	158431120	8.2	93435272	5.01
2	BHARAT 22 ETF	47768312	2.47	69117330	3.70
3	HDFC LIFE INSURANCE COMPANY LIMITED	14636302	0.76	24756542	1.33
4	UTI-MID CAP FUND	16464258	0.85	19856305	1.06
5	HINDALCO INDUSTRIES LIMITED	18385327	0.95	18385327	0.99
6	BAJAJ ALLIANZ LIFE INSURANCE COMPANY LTD.	14350874	0.74	17928229	0.96
7	RENUKA INVESTMENTS & FINANCE LIMITED	16418964	0.85	16418964	0.88
8	THE NEW INDIA ASSURANCE COMPANY LIMITED	11839876	0.61	13787744	0.74
9	ICICI PRUDENTIAL VALUE FUND - SERIES 19	—	—	13388562	0.72
10	RENUKESHWAR INVESTMENT AND FINANCE LTD.	12814264	0.66	12814264	0.68
11	GOVERNMENT PENSION GLOBAL FUND	28529841	1.48	—	—
12	RELIANCE CAPITAL TRUSTEE COMPANY LIMITED A/C RELIANCE GROWTH FUND	21084563	1.09	—	—

The shares of the Company are traded on a daily basis and hence the date wise increase / decrease in shareholding is not indicated.

(v) Shareholding of Directors and Key Managerial Personnel:

Sl. No.	For Each of the Directors and KMP	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1	Dr. T. K. Chand, CMD	—	—	—	—
2	Shri V. Balasubramanyam, D(P)	3016	—	3016	—
3	Shri B.K.Thakur, D(HR)	—	—	—	—
4	Shri Sanjib Kumar Roy, D(P&T)	8675	—	8675	—
5	Shri P.K. Mishra, D(C )	—	—	—	—
6	Shri Sridhar Patra, D(F)	—	—	—	—
7	Shri N. K. Mohanty, Company Secretary	3016	—	5000	—

V. INDEBTEDNESS

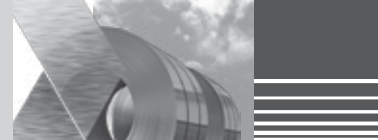
Indebtedness of the Company including interest outstanding/accrued but not due for payment

	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year				
i) Principal Amount	<i>Nil</i>	<i>Nil</i>	<i>Nil</i>	<i>Nil</i>
ii) Interest due but not paid				
iii) Interest accrued but not due				
<b>Total (i+ii+iii)</b>	<i>Nil</i>	<i>Nil</i>	<i>Nil</i>	<i>Nil</i>
Change in Indebtedness during the financial year				
• Addition	<i>Nil</i>	<i>Nil</i>	<i>Nil</i>	<i>Nil</i>
• Reduction				
<b>Net Change</b>	<i>Nil</i>	<i>Nil</i>	<i>Nil</i>	<i>Nil</i>
Indebtedness at the end of the financial year				
i) Principal Amount	<i>Nil</i>	<i>Nil</i>	<i>Nil</i>	<i>Nil</i>
ii) Interest due but not paid				
iii) Interest accrued but not due				
<b>Total (i+ii+iii)</b>	<i>Nil</i>	<i>Nil</i>	<i>Nil</i>	<i>Nil</i>

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

Sl. No.	Particulars of Remuneration	Name of MD/WTD/ Manager							Total Amount
	NAME	Dr. Tapan Kumar Chand	Shri K C Samal (Upto 31.08.2018)	Shri Sridhar Patra (W.e.f. 01.09.2018)	Shri V Balasubramanyam	Shri B K Thakur	Shri S K Roy	Shri P K Mishra (w.e.f. 23.04.2018)	
	DESIGNATION	CMD	Director (Finance)	Director (Finance)	Director (Production)	Director (HR)	Director (P & T)	Director (C)	
1.	Gross salary								
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	64,39,460	52,79,533	22,88,078	55,08,827	44,96,710	47,55,972	34,40,715	32,209,295
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	4,58,410	1,48,465	3,12,062	4,05,800	—	—	2,17,443	15,42,180
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	—	—	—	—	—	—	—	—
2.	Stock Option	—	—	—	—	—	—	—	—
3.	Sweat Equity	—	—	—	—	—	—	—	—
4.	Commission — as % of profit — others, specify...	—	—	—	—	—	—	—	—
5.	Others, please specify	—	—	—	—	—	—	—	—
	Total (A)	68,97,870	54,27,998	26,00,140	59,14,627	44,96,710	47,55,972	36,58,158	33,751,475
	Ceiling as per the Act								



## B. Remuneration to other directors:

Sl. No.	Particulars of Remuneration	Name of Directors								Total Amount
		Shri Dipankar Mahanta	Shri S. Sankararaman	Shri P. K. Nayak	Prof. D. Acharya	Shri Maheswar Sahu	Smt. Kiran Ghai Sinha	Shri N N Sharma	Smt. Achla Sinha	
1.	Independent Directors									
	• Fee for attending board committee meetings	6,25,000	5,55,000	5,30,000	4,40,000	4,50,000	4,00,000	3,85,000	2,95,000	36,80,000
	• Commission	—	—	—	—	—	—	—	—	—
	• Others, please specify	—	—	—	—	—	—	—	—	—
	Total (1)	6,25,000	5,55,000	5,30,000	4,40,000	4,50,000	4,00,000	3,85,000	2,95,000	36,80,000
2.	Other Non-Executive Directors									
	• Fee for attending board committee meetings	—	—	—	—	—	—	—	—	—
	• Commission	—	—	—	—	—	—	—	—	—
	• Others, please specify	—	—	—	—	—	—	—	—	—
	Total (2)	—	—	—	—	—	—	—	—	—
	Total (B) = (1 + 2)	6,25,000	5,55,000	5,30,000	4,40,000	4,50,000	4,00,000	3,85,000	2,95,000	36,80,000
	Total Managerial Remuneration									
	Overall Ceiling as per the Act									

C. REMUNERATION TO KEY MANAGERIAL PERSONNEL OTHER THAN MD/MANAGER/WTD

Sl. No.	Particulars of Remuneration	Key Managerial Personnel			
		CEO	Company Secretary	CFO	Total
			Shri N K Mohanty		
1.	Gross salary	—	41,00,959	—	41,00,959
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961				
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	—	38,676	—	38,676
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	—	—	—	—
2.	Stock Option	—	—	—	—
3.	Sweat Equity	—	—	—	—
4.	Commission — as % of profit — others, specify...	—	—	—	—
5.	Others, please specify	—	—	—	—
	Total	—	41,39,635	—	41,39,635

VII. PENALTIES/PUNISHMENT/COMPOUNDING OF OFFENCES

Type	Section of the Companies Act	Brief Description	Details of Penalty/ Punishment/ Compounding fees imposed	Authority [RD/NCLT/ COURT]	Appeal made, if any (give Details)
<b>A. COMPANY</b>					
Penalty			NIL		
Punishment					
Compounding					
<b>B. DIRECTORS</b>					
Penalty			NIL		
Punishment					
Compounding					
<b>C. OTHER OFFICERS IN DEFAULT</b>					
Penalty			NIL		
Punishment					
Compounding					