

SHAREHOLDING PATTERN FOR THE QUARTER ENDED 30.09.2020

General information about company	
Scrip code	532234
NSE Symbol	NATIONALUM
MSEI Symbol	NOTLISTED
ISIN	INE139A01034
Name of the company	NATIONAL ALUMINIUM COMPANY LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2020
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	Yes

Declaration

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	1	960793011			960793011	51.5	960793011		960793011	51.5
(B)	Public	289266	904824487			904824487	48.5	904824487		904824487	48.5
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	289267	1865617498			1865617498	100	1865617498		1865617498	100

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				51.5					960793011
(B)	Public				48.5					902968391
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100					1863761402

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(b)	Central Government/ State Government(s)	1	960793011			960793011	51.5	960793011		960793011	51.5
Sub-Total (A)(1)		1	960793011			960793011	51.5	960793011		960793011	51.5
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		1	960793011			960793011	51.5	960793011		960793011	51.5
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(a)	Mutual Funds	38	165361459			165361459	8.86	165361459		165361459	8.86
(c)	Alternate Investment Funds	2	4200000			4200000	0.23	4200000		4200000	0.23
(e)	Foreign Portfolio Investors	154	133631042			133631042	7.16	133631042		133631042	7.16
(f)	Financial Institutions/ Banks	8	16461936			16461936	0.88	16461936		16461936	0.88
Sub-Total (B)(1)		202	319654437			319654437	17.13	319654437		319654437	17.13
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	282050	232390599			232390599	12.46	232390599		232390599	12.46
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	585	73226308			73226308	3.93	73226308		73226308	3.93
(b)	NBFCs registered with RBI	9	98950			98950	0.01	98950		98950	0.01
(e)	Any Other (specify)	6420	279454193			279454193	14.98	279454193		279454193	14.98
Sub-Total (B)(3)		289064	585170050			585170050	31.37	585170050		585170050	31.37
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		289266	904824487			904824487	48.5	904824487		904824487	48.5
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										

Total (A+B+C2)		289267	1865617498			1865617498	100	1865617498		1865617498	100
Total (A+B+C)		289267	1865617498			1865617498	100	1865617498		1865617498	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(b)				51.5					960793011
Sub-Total (A) (1)				51.5					960793011
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)				51.5					960793011
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)				8.86					165274859
(c)				0.23					4200000
(e)				7.16					133602842
(f)				0.88					16461936
Sub-Total (B) (1)				17.13					319539637
(3)	Non-institutions								
(a(i))				12.46					231445903
(a(ii))				3.93					73226308
(b)				0.01					98950
(e)				14.98					278657593
Sub-Total (B) (3)				31.37					583428754
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				48.5					902968391
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)				100					1863761402
Total (A+B+C)				100					1863761402

Central Government/ State Government(s)		
Serial No.	1	
Name of the Shareholders (I)	PRESIDENT OF INDIA	Click here to go back
PAN (II)	ZZZZZ9999Z	Total
No. of fully paid up equity shares held (IV)	960793011	960793011
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	960793011	960793011
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	51.5	51.5
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	960793011	960793011
Class eg:y		
Total	960793011	960793011
Total as a % of Total Voting rights	51.5	51.5
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and warrants (X)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	51.5	51.5
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	960793011	960793011

Reason for not providing PAN		
Reason for not providing PAN	Textual Information(1)	
Shareholder type	Promoter	

Text Block	
Textual Information(1)	NOT APPLICABLE

Mutual Funds			
Serial No.	1	2	
Name of the Shareholders (I)	BHARAT 22 ETF	UTI-MID CAP FUND	Click here to go back
PAN (II)	AAAAI0038F	AAATU1088L	Total
No. of fully paid up equity shares held (IV)	86900490	20045116	106945606
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+(V)+ (VI)	86900490	20045116	106945606
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	4.66	1.07	5.73
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	86900490	20045116	106945606
Class eg:y			
Total	86900490	20045116	106945606
Total as a % of Total Voting rights	4.66	1.07	5.73
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	4.66	1.07	5.73
Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	86900490	20045116	106945606
Reason for not providing PAN			
Reason for not providing PAN			

Any Other (specify)							
Serial No.	1	2	3	4	5	6	7
Category	Trusts	Overseas Corporate Bodies	Non-Resident Indian (NRI)	Clearing Members	Others	Others	Bodies Corporate
Category / More than 1 percentage	Category	Category	Category	Category	Category	Category	Category
Name of the Shareholders (I)					Qualified Institutional Buyer	NRI NON-REPATRIATION	
PAN (II)							
No. of the Shareholders (I)	43	2	3332	222	10	1347	1462
No. of fully paid up equity shares held (IV)	734783	26400	10967429	3779862	185616734	2931034	75149729
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+(V)+ (VI)	734783	26400	10967429	3779862	185616734	2931034	75149729
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.04	0	0.59	0.2	9.95	0.16	4.03
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	734783	26400	10967429	3779862	185616734	2931034	75149729
Class eg:y							
Total	734783	26400	10967429	3779862	185616734	2931034	75149729
Total as a % of Total Voting rights	0.04	0	0.59	0.2	9.95	0.16	4.03
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.04	0	0.59	0.2	9.95	0.16	4.03
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							

Number of equity shares held in dematerialized form (XIV)	734783	26200	10182829	3779862	185616734	2931034	75137929
Reason for not providing PAN							
Reason for not providing PAN							

Any Other (specify)						
Serial No.	8	9	10	11	12	
Category	IEPF	Foreign Nationals	Others	Others	Others	
Category / More than 1 percentage	Category	Category	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	
Name of the Shareholders (I)			HDFC LIFE INSURANCE COMPANY LIMITED	LIFE INSURANCE CORPORATION OF INDIA	THE NEW INDIA ASSURANCE COMPANY LIMITED	Click here to go back
PAN (II)			AAACH8755L	AAACL0582H	AAACN4165C	Total
No. of the Shareholders (I)	1	1	1	1	1	6420
No. of fully paid up equity shares held (IV)	245222	3000	35024066	103235431	20142744	279454193
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+(V)+ (VI)	245222	3000	35024066	103235431	20142744	279454193
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.01	0	1.88	5.53	1.08	14.98
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	245222	3000	35024066	103235431	20142744	279454193
Class eg:y						
Total	245222	3000	35024066	103235431	20142744	279454193
Total as a % of Total Voting rights	0.01	0	1.88	5.53	1.08	14.98
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.01	0	1.88	5.53	1.08	14.98
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						

Number of equity shares held in dematerialized form (XIV)	245222	3000	35024066	103235431	20142744	278657593
Reason for not providing PAN						
Reason for not providing PAN						

Sr. No. Details of the SBO Details of the registered owner Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect* Date of creation / acquisition of significant beneficial interest Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Whether by virtue of: Shares Voting rights Rights on distributable dividend or any other distribution Exercise of control Exercise of significant influence