

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



सत्यमेव जयते

Annual Return (other than OPCs and Small Companies)

Form language English Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

- (i) * Corporate Identification Number (CIN) of the company
- Global Location Number (GLN) of the company
- * Permanent Account Number (PAN) of the company
- (ii) (a) Name of the company
- (b) Registered office address
- NALCO BHAWAN PLOT NO P/1
NAYAPALLI
BHUBANESWAR
Orissa
751013
- (c) *e-mail ID of the company
- (d) *Telephone number with STD code
- (e) Website
- (iii) Date of Incorporation
- (iv)
- | Type of the Company | Category of the Company | Sub-category of the Company |
|---------------------|---------------------------|-----------------------------|
| Public Company | Company limited by shares | Union Government Company |
- (v) Whether company is having share capital Yes No
- (vi) *Whether shares listed on recognized Stock Exchange(s) Yes No

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
1	BSE LIMITED	1
2	NATIONAL STOCK EXCHANGE OF INDIA LIMITED	1,024

(b) CIN of the Registrar and Transfer Agent

U72400TG2017PTC117649

Pre-fill

Name of the Registrar and Transfer Agent

KFIN TECHNOLOGIES PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

Selenium, Tower B, Plot No- 31 & 32,
Financial District, Nanakramguda, Serilingampally

(vii) *Financial year From date (DD/MM/YYYY) To date (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held Yes No

(a) If yes, date of AGM

(b) Due date of AGM

(c) Whether any extension for AGM granted

Yes No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	B	Mining and quarrying	B4	Other Mining & Quarrying Activities	29.88
2	C	Manufacturing	C7	Metal and metal products	68.7
3	D	Electricity, gas, steam and air condition supply	D1	Electric power generation, transmission and distribution	0.44

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
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1	ANGUL ALUMINIUM PARK PRIN	U27203OR2010PTC012284	Associate	49
2	GACL-NALCO ALKALIES & CHE	U24100GJ2015PTC085247	Associate	40
3	KHANIJ BIDESH INDIA LIMITED	U14297DL2019PTC353677	Associate	40
4	UTKARSHA ALUMINIUM DHAT	U14299TG2019PLC134932	Associate	50

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	6,000,000,000	1,836,631,787	1,836,631,787	1,836,631,787
Total amount of equity shares (in Rupees)	30,000,000,000	9,183,158,935	9,183,158,935	9,183,158,935

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
EQUITY SHARES				
Number of equity shares	6,000,000,000	1,836,631,787	1,836,631,787	1,836,631,787
Nominal value per share (in rupees)	5	5	5	5
Total amount of equity shares (in rupees)	30,000,000,000	9,183,158,935	9,183,158,935	9,183,158,935

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
	Physical	DEMAT	Total			
Equity shares						
At the beginning of the year	1,871,920	1,863,745,5	1865617498	9,328,087,4	9,328,087,	
Increase during the year	0	0	0	0	0	0
i. Public Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0	0	0
v. ESOPs	0	0	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify						
Decrease during the year	0	28,985,711	28985711	144,928,555	144,928,550	0
i. Buy-back of shares	0	28,985,711	28985711	144,928,555	144,928,55	
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify						
At the end of the year	1,871,920	1,834,759,8	1836631787	9,183,158,9	9,183,158,	
Preference shares						

At the beginning of the year	0	0	0	0	0	
Increase during the year	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify						
Decrease during the year	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify						
At the end of the year	0	0	0	0	0	

ISIN of the equity shares of the company

(ii) Details of stock split/consolidation during the year (for each class of shares)

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

Nil

[Details being provided in a CD/Digital Media]

Yes No Not Applicable

Separate sheet attached for details of transfers

Yes No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting	<input type="text" value="30/09/2020"/>
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Date of registration of transfer (Date Month Year)	<input type="text"/>
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Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
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Ledger Folio of Transferor	<input type="text"/>
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Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Ledger Folio of Transferee	<input type="text"/>
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Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	<input type="text"/>
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Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
------------------	----------------------	--

Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
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Ledger Folio of Transferor		<input type="text"/>	
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee		<input type="text"/>	
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

(iv) *Debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Total			0

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total	<input type="text"/>		<input type="text"/>		<input type="text"/>

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

89,557,907,115

(ii) Net worth of the Company

106,807,000,460

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	941,793,011	51.28	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	0	0	0	
10.	Others	0	0	0	
	Total	941,793,011	51.28	0	0

Total number of shareholders (promoters)

1

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage

1.	Individual/Hindu Undivided Family				
	(i) Indian	277,293,232	15.1	0	
	(ii) Non-resident Indian (NRI)	12,981,132	0.71	0	
	(iii) Foreign national (other than NRI)	200	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	1,600	0	0	
4.	Banks	2,278,251	0.12	0	
5.	Financial institutions	8,583,263	0.47	0	
6.	Foreign institutional investors	153,850,192	8.38	0	
7.	Mutual funds	163,887,897	8.92	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	78,064,722	4.25	0	
10.	Others OTHERS	197,898,287	10.78	0	
	Total	894,838,776	48.73	0	0

Total number of shareholders (other than promoters)

313,437

**Total number of shareholders (Promoters+Public/
Other than promoters)**

313,438

(c) *Details of Foreign institutional investors' (FIIs) holding shares of the company

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Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held
SOCIETE GENERALE	29 BOULEVARD HAUSSMANN PAR			13,819,623	0.75
VANGUARD EMERGIN	100 VANGUARD BOULEVARD MAI			11,967,980	0.65
PIMCO EQUITY SERIES	650 NEWPORT CENTER DRIVE NEV			11,109,125	0.6
ISHARES CORE EMERG	INTERNATIONAL FINANCIAL SERVI			9,629,499	0.52

Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held
GOVERNMENT PENSIC	Bankplassen 2 P O Box 1179 Sentr			8,872,201	0.48
COPTHALL MAURITIUS	C/O CIM CORPORATE SERVICES LT			7,294,652	0.4
VANGUARD TOTAL IN	100 VANGUARD BOULEVARD MAL			7,108,650	0.39
EMERGING MARKETS C	6300 Bee Cave Road Building One			4,441,767	0.24
BNP PARIBAS ARBITRA	160 162 BD MACDONALD PARIS			4,132,810	0.23
PIMCO RAE FUNDAME	2711 CENTERVILLE ROAD SUITE 40			4,023,835	0.22
COPTHALL MAURITIUS	JPMORGAN CHASE BANK N.A. IND			3,555,601	0.19
WASHINGTON STATE	2100 EVERGREEN PARK DRIVE SW			3,270,583	0.18
VANGUARD FIDUCIAR	JPMORGAN CHASE BANK N.A. IND			2,986,787	0.16
DIMENSIONAL EMERG	6300 Bee Cave Road Building One			2,986,448	0.16
BLUE SKY ACTIVE EQU	Prof E M Meijerslaan 1 1183 A V Ar			2,934,988	0.16
EMERGING MARKETS S	400 HOWARD STREET SAN FRANCI			2,747,151	0.15
MORGAN STANLEY AS	CITIBANK N.A. CUSTODY SERVICES			2,423,254	0.13
THE EMERGING MARK	6300 Bee Cave Road Building One			2,223,972	0.12
NEW YORK STATE TEA	DEUTSCHE BANK AG, DB HOUSE, F			2,187,813	0.12
CALIFORNIA PUBLIC E	400 Q STREET LPE ROOM 4800 SA			2,171,307	0.12
BOFA SECURITIES EUR	CITIBANK N.A. CUSTODY SERVICES			2,010,604	0.11
EMERGING MARKETS S	400 HOWARD STREET SAN FRANCI			2,002,013	0.11
CALIFORNIA STATE TE	DEUTSCHE BANK AG, DB HOUSE, F			1,869,121	0.1
CALSTRS MANAGED B	100 WATERFRONT PLACE WEST SA			1,795,450	0.1
ISHARES CORE MSCI E	JP MORGAN HOUSE IFSC DUBLIN 1			1,749,527	0.1

VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)

Details	At the beginning of the year	At the end of the year
Promoters	1	1
Members (other than promoters)	248,233	313,437
Debenture holders	0	0

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
A. Promoter	5	2	4	2	0	0
B. Non-Promoter	0	2	0	0	0	0
(i) Non-Independent	0	0	0	0	0	0
(ii) Independent	0	2	0	0	0	0
C. Nominee Directors representing	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	5	4	4	2	0	0

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

7

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
SRIDHAR PATRA	06500954	Managing Director	0	

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
RADHASHYAM MAHAF	07248972	Director	0	
MANASA PRASAD MIS	08951624	Additional director	4,145	
BIJAY KUMAR DAS	08984700	Additional director	3,016	
SANJAY LOHIYA	07151125	Additional director	0	
SATENDRA SINGH	05195060	Director	0	
NAYAN KUMAR MOHA	AASPM7151N	Company Secretar	5,000	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

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Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
NAGENDRA NATH SH/	02888318	Director	05/09/2020	Cessation
ACHLA SINHA	07932932	Director	07/09/2020	Cessation
K RAJESWARA RAO	08071005	Director	05/08/2020	Cessation
ANIL KUMAR NAYAK	08097669	Director	05/08/2020	Cessation
SATENDRA SINGH	05195060	Additional director	05/08/2020	Appointment
UPENDRA C JOSHI	08831041	Director	05/08/2020	Appointment
SANJAY LOHIYA	07151125	Additional director	09/11/2020	Appointment
UPENDRA C JOSHI	08831041	Director	09/11/2020	Cessation
SANJIB KUMAR ROY	06756812	Director	31/10/2020	Cessation
MANASA PRASAD MIS	08951624	Additional director	01/11/2020	Appointment
V. BALASUBRAMANYA	06965313	Whole-time directo	30/11/2020	Cessation
BIJAY KUMAR DAS	08984700	Additional director	01/12/2020	Appointment
PRADIP KUMAR MISHI	06445517	Director	28/02/2021	Cessation

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
39th Annual General Meeting	30/09/2020	295,168	80	51.53

B. BOARD MEETINGS

*Number of meetings held

8

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	26/06/2020	9	8	88.89
2	04/09/2020	9	8	88.89
3	11/11/2020	7	5	71.43
4	18/11/2020	7	6	85.71
5	27/01/2021	7	7	100
6	12/02/2021	7	7	100
7	15/03/2021	6	6	100
8	23/03/2021	6	6	100

C. COMMITTEE MEETINGS

Number of meetings held

13

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	26/06/2020	3	3	100
2	Audit Committee	04/09/2020	3	3	100
3	Stakeholders Forum	31/08/2020	4	4	100
4	Risk Management	25/06/2020	3	3	100
5	CSR & SD Committee	19/05/2020	4	4	100
6	CSR & SD Committee	31/08/2020	4	4	100
7	Technology Committee	25/06/2020	3	3	100

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
8	Share Transfer	18/06/2020	4	4	100
9	Share Transfer	10/07/2020	4	4	100
10	Share Transfer	27/11/2020	4	4	100

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on 30/09/2021 (Y/N/NA)
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	
1	SRIDHAR PA	8	8	100	0	0	0	Yes
2	RADHASHYA	8	8	100	9	9	100	Yes
3	MANASA PRA	6	6	100	4	4	100	Yes
4	BIJAY KUMAR	4	4	100	3	3	100	Yes
5	SANJAY LOH	6	5	83.33	0	0	0	No
6	SATENDRA S	7	4	57.14	0	0	0	No

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

7

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Shri Sridhar Patra	Chairman-cum-M	4,872,253			6,845	4,879,098
2	Shri V Balasubrama	Director (Produc	5,406,893			3,817,118	9,224,011
3	Shri Radhashyam M	Director (HR)	4,725,511			32,751	4,758,262
4	Shri S K Roy	Director (P&T)	3,660,245			3,451,031	7,111,276
5	Shri P K Mishra	Director (Comm	6,699,718			3,638,216	10,337,934
6	Shri Manasa Prasad	Director (P&T) a	2,086,852			941,913	3,028,765
7	Shri B K Das	Director (Produc	1,659,103			7,094	1,666,197
	Total		29,110,575	0		11,894,968	41,005,543

Number of CEO, CFO and Company secretary whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	NAYAN KUMAR MCG	GM & Company	4,647,275	0	0	840,318	5,487,593
	Total		4,647,275	0	0	840,318	5,487,593

Number of other directors whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	NAGENDRA NATH	Independent Dir	235,000	0	0	0	235,000
2	ACHLA SINHA	Independent Dir	260,000	0	0	0	260,000
	Total		495,000	0	0	0	495,000

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year Yes No

B. If No, give reasons/observations

The composition of the Board is not in compliance with the provisions under Section 149(1) and 149 (4) of the Companies Act, 2013.

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS Nil

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Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status
National Aluminium	National Stock Exchange	08/09/2020	Non-compliance with	Penalty Imposed: ₹	The matter was informed to the
National Aluminium	BSE Limited	20/08/2020	Non-compliance with	Penalty Imposed: ₹	The matter was informed to the
National Aluminium	National Stock Exchange	20/08/2020	Non-compliance with	Penalty Imposed: ₹	The matter was informed to the
National Aluminium	BSE Limited	17/11/2020	Non-compliance with	Penalty Imposed: ₹	BSE, vide their letter dated 19
National Aluminium	National Stock Exchange	17/11/2020	Non-compliance with	Penalty Imposed: ₹	The matter was informed to the
National Aluminium	BSE Limited	15/02/2021	Non-compliance with	Penalty Imposed: ₹	BSE, vide their letter dated 19

(B) DETAILS OF COMPOUNDING OF OFFENCES Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes No

(In case of 'No', submit the details separately through the method specified in instruction kit)

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Whether associate or fellow Associate Fellow

Certificate of practice number

I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ... dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

RADHASHYA M MAHAPATRO
Digitally signed by RADHASHYAM MAHAPATRO
Date: 2021.11.26 17:57:58 +05'30'

DIN of the director

To be digitally signed by

NAYAN KUMAR MOHANTY
Digitally signed by NAYAN KUMAR MOHANTY
Date: 2021.11.26 17:58:58 +05'30'

- Company Secretary
 Company secretary in practice

Membership number

Certificate of practice number

Attachments

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachment(s), if any

List of attachments

NALCO MGT8.pdf
FII details.pdf
Committee Meetings details.pdf

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company

Form No. MGT-8

[Pursuant to section 92(2) of the Companies Act, 2013 and rule 11(2) of Companies (Management and Administration) Rules, 2014]

CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE

We have examined the registers, records, books and papers of **M/s National Aluminium Company Limited** (the Company) as required to be maintained under the Companies Act, 2013 (the Act) and the rules made thereunder for the financial year ended on 31st March, 2021. In our opinion and to the best of our information and according to the examinations carried out by us and explanations furnished to us by the Company, its officers and agents, we certify that:

A. The Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.

B. During the aforesaid financial year, the Company has complied with provisions of the Act & Rules made there under in respect of:

1. Its status under the Act;
2. Maintenance of registers/records & making entries therein within the time prescribed therefor;
3. Filing of forms and returns as stated in the Annual Return, with the Registrar of Companies and Stock Exchanges within the prescribed time or in the extended time with or without fees or additional fees and the Company is not required to file any other forms or returns with Regional Director, Central Government, the Tribunal, Court or other authorities;
4. Calling/ convening/ holding meetings of Board of Directors, Audit Committee, CSR & Sustainability Development Committee, Stakeholders Relationship Committee and the meetings of the Members of the Company on due dates as stated in the Annual Return in respect of which proper notices were given and the proceedings including the circular resolutions have been properly recorded in the Minute Book/Registers maintained for the purpose and the same have been duly signed.

During the year under review, in absence of Independent Directors on the Board w.e.f 08.09.2020, the Company has not reconstituted the Statutory Committees, i.e. Audit Committee, Nomination and Remuneration Committee, CSR & Sustainability Development Committee and Stakeholders Relationship Committee, as the composition of these Committees need minimum number of Independent Directors.

During the year under review, the Audit Committee did not meet minimum four times and the chairman of the committee did not have accounting or related financial expertise as required under the provisions of the Act and SEBI (LODR) Regulations.



As per provisions under SEBI (LODR) Regulations, the Nomination and Remuneration committee should meet at least once in every year. However, the committee has not met during the year under review.

As per provisions under SEBI (LODR) Regulations, the quorum for every Board meeting shall be one-third of the total strength or three Directors whichever is higher including at least one Independent Director. In absence of Independent Directors on the Board w.e.f 08.09.2020, the meetings of the Board held on 11.11.2020, 18.11.2020, 27.01.2021, 12.02.2021, 15.03.2021 and 23.03.2021 were without valid quorum.

5. The Company had fixed Wednesday, 2nd December 2020 & Tuesday, 23rd March 2021 as the 'Record Dates' for payment of 1st interim dividend & 2nd interim dividend for the financial year 2020-21.

Also the Register of Members and Share Transfer Books of the Company remained closed from Monday, the 27th September, 2021 to Thursday, the 30th September, 2021 (both days inclusive), for the purpose of the 40th Annual General Meeting of the Company.

6. During the financial year, the Company has not advanced any loan, or given guarantee or provided security in connection with any loan taken by its directors and/or persons or firms or companies referred in Section 185 of the Act.
7. During the financial year, the Company has not entered into any related party transactions and all the transactions made were in the ordinary course of business and on arm's length basis. Therefore the provisions of Section 188 of the Companies Act, 2013 are not attracted.
8. During the financial year, the transfer and transmission of shares in physical mode and issue of share certificates wherever required were done in conformity with the Act. There was no issue, allotment, alteration or conversion of shares during the period. The Company does not have any preference shares or debentures, so redemption of the same is not applicable.

During the financial year, in total 2,89,85,711 shares were bought back and extinguished on 17.03.2021. Post buyback, the paid-up share capital of the Company stood at ₹918.32 crores from ₹932.81 crores. The shareholding of Govt. of India post buyback reduced from 51.50% to 51.28% of total paid-up share capital. The buyback process was completed in compliance with the provisions of the Companies Act, 2013 and SEBI Buyback Regulations, 2018.

Total 1,83,47,99,995 equity shares constituting 99.90% of the total equity of NALCO (1,83,66,31,787 shares) are held in dematerialized form as on 31.03.2021.

9. The Company has appointed M/s. KFin Technologies Private Limited, Hyderabad as its Registrar and Share Transfer Agents (RTA) for share registry operation of the Company for a period of 3 years w.e.f. 01.04.2020.



10. During the financial year, the Company has kept the rights to the dividend in abeyance, pending registration of transfer of shares in compliance with the provisions of the Act. The Company has not issued any right shares and bonus shares during the period under review.
11. During the financial year under review, an amount of Rs.10,38,882/- pertaining to unclaimed interim dividend for financial year 2012-13 and an amount of Rs.6,85,923/- pertaining to unclaimed final dividend for financial year 2012-13 have been transferred to Investor Education and Protection Fund on 26.05.2020 and 05.11.2020 respectively.

During the financial year under review, the Company has transferred 29,173 shares of 100 shareholders in respect of which dividend has not been paid/claimed for seven consecutive years, to the IEPF Authority.

12. During the financial year, the audited financial statement was signed as per the provisions of section 134 of the Act and report of directors was made as per sub-sections (3), (4) and (5) thereof.
13. During the financial year, appointment, re-appointments, retirement, disclosures of the Directors, Key Managerial Personnel and remuneration paid to them are in compliance with the provisions of the Act.

However, during the financial year composition of the Board of Directors was not in compliance with the provisions of the Act and SEBI (LODR) Regulations.

Non-compliance under Companies Act, 2013:

As per proviso to Section 149(1)(b) of the Companies Act, 2013, every listed company shall have at least one Woman Director on the Board. Further as per Section 149(4) of the Companies Act, 2013, 1/3rd of the total strength of the Board should be Independent Directors.

During the year under review, the Company did not have requisite no. of Independent Directors at any point of time, Further, there is no Independent Director on the Board w.e.f 08.09.2020 including at least one woman director and the position remained vacant till 31.03.2021.

Accordingly, the composition of the Board is not in compliance with the provisions under Section 149(1) and 149(4) of the Companies Act, 2013.

Non-compliance under SEBI (LODR) Regulation, 2015 (The Rgulations)

As per the Regulation 17(1) (a) of the Regulations, not less than 50% of the Board of Directors shall comprise of Non-Executive Directors. Provided, there should be at least one Independent Woman Director.



Further, as per Regulation 17(1) (b) of the Regulations, if the listed company does not have a regular Non-Executive Chairperson, at least half of the Board of Directors shall comprise of Independent Directors.

As on 31.03.2021, Non-executive Directors i.e. Part-time Official Directors constitute 33.33% of the total Board strength. The Company did not have requisite no. of Independent Directors at any point of time during the year under review. Further, there are no Independent Directors on the Board w.e.f 08.09.2020 including minimum one woman director and the position remained vacant till 31.03.2021.


Accordingly, the composition of the Board is not in compliance with the provisions under Regulation 17(1)(a) and Regulation 17(1)(b) of the SEBI (LODR) Regulations, 2015.

14. During the financial year, appointment of auditors has taken place as per the provisions of Section 139(5) of the Act.
15. During the financial year, no approvals was required to be taken from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act.
16. During the financial year, the Company has not accepted, renewed, repaid any deposits.
17. During the financial year, the Company has not borrowed any amount from its directors, members, public financial institutions, banks and others and has not created, modified, satisfied any charges.
18. During the financial year, the Company has made investment in equity in existing Joint Venture Companies.
19. During the financial year the Company has not altered any of the provisions of the Memorandum or Articles of Association of the Company.

Place: Bhubaneswar
Date: 26/11/2021
UDIN: F003911C001557859



For Deba Mohapatra & Co,
Company Secretaries


CS Arabinda Acharya, FCS
Partner
M. No.F3911, C P No: 23836

NATIONAL ALUMINIUM COMPANY LIMITED

List of FII Shareholders as on 31/03/2021

SLNO	NAME/JOINT NAME(S)	ADDRESS	COUNTRY OF INCORPORATION	HOLDING	% TO EQT
26	ISHARES INDIA SC MAURITIUS COMPANY	IFS COURT TWENTYEIGHT CYBERCITY EBENE MAURITIUS	MAURITIUS	1690130	0.09
27	VANGUARD FTSE ALL-WORLD EX-US SMALL-CAP INDEXFUND	100 VANGUARD BOULEVARD MALVERN PA	UNITED STATES OF AMERICA	1680431	0.09
28	ISHARES CORE TOTAL INTERNATIONAL STOCK MAURITIUS C O	INTERNATIONAL FINANCIAL SERVICES IFS COURT TWENTY EIGHT CYBERCITY EBENE MAURITIUS	MAURITIUS	1388499	0.08
29	INTEGRATED CORE STRATEGIES ASIA PTE LTD	80 RAFFLES PLACE UOB PLAZA 2 14- 20	SINGAPORE	1358610	0.07
30	BOFA SECURITIES EUROPE SA	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	INDIA	1311092	0.07
31	SCHOOL EMPLOYEES RETIREMENT SYSTEM OF OHIO - ARROW STREET EMERGING	300 East Broad Street Suite 100 Columbus OH 43215-3746 USA	UNITED STATES OF AMERICA	1201911	0.07
32	MORGAN STANLEY ASIA (SINGAPORE) PTE.	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	INDIA	1166453	0.06
33	STATE STREET GLOBAL SMALL CAP EQUITY EX-U.S. INDEX NON- LENDING SERIES FUND	ONE LINCOLN STREET BOSTON MA	UNITED STATES OF AMERICA	1128935	0.06
34	FIRST WATER FUND	4TH FLOOR 19 BANK STREET CYBERCITY EBENE		1050000	0.06
35	FLORIDA RETIREMENT SYSTEM - AQR CAPITAL MANAGEMENT , LLC	1801 HERMITAGE BLVD SUITE 100 TALLAHASSEE FLORIDA	UNITED STATES OF AMERICA	978444	0.05
36	NORTHERN TRUST COLLECTIVE EMERGING MARKETS SMALL C AP INDEX FUND- NON LENDING	50 S LaSalle Street Chicago Illinois	UNITED STATES OF AMERICA	967366	0.05
37	CITY OF NEW YORK GROUP TRUST	ONE CENTRE STREET NEW YORK NY 10007 2341	UNITED STATES OF AMERICA	941330	0.05
38	MISSOURI EDUCATION PENSION TRUST MANAGED BY ARROWS TREET CAPITAL L.P.	3210 WEST TRUMAN BOULEVARD JEFFERSON CITY MISSOURI	UNITED STATES OF AMERICA	901376	0.05
39	ISHARES MSCI GLOBAL METALS & MINING PRODUCERS ETF	400 HOWARD STREET SAN FRANCISCO CA 94105		826056	0.04
40	UPS GROUP TRUST	55 GLENLAKE PKWY NE ATLANTA GEORGIA	UNITED STATES OF AMERICA	810444	0.04
41	PEOPLE'S BANK OF CHINA	NO 32 CHENGFANG STREET XICHENG DISTRICT BEIJING	CHINA	765327	0.04
42	SOCIETE GENERALE - ODI	SBI-SG GLOBAL SECURITIES SERVICES PL JEEVAN SEVA EXTENSION BUILDING GROUND FLOOR S V ROAD SANTACRUZ WEST MUMBAI	INDIA	759961	0.04
43	COLLEGE RETIREMENT EQUITIES FUND - STOCK ACCOUNT	730 THIRD AVENUE NEW YORK NEW YORK	UNITED STATES OF AMERICA	697389	0.04
44	GMAM INVESTMENT FUNDS TRUST	C/O GENERAL MOTORS INVESTMENT MANAGEMENT CORPORATION 1345 AVENUE OF THE AMERICAS NEW YORK NY	UNITED STATES OF AMERICA	603103	0.03

45	TATA INDIAN SHARIA EQUITY FUND	STANDARD CHARTERED BANK SECURITIES SERVICES, 3RD FLOOR 23- 25 M.G. ROAD FORT, MUMBAI	INDIA	600000	0.03
46	CAISSE DE DEPOT ET PLACEMENT DU QUEBEC	1000 PLACE JEAN- PAUL- RIOPELLE MONTREAL QUEBEC	CANADA	578763	0.03
47	ABN AMRO MULTI-MANAGER FUNDS	49 Avenue J.F. Kennedy L-1855 luxembourg	LUXEMBOURG	572445	0.03
48	ALASKA PERMANENT FUND	801 WEST 10TH STREET JUNEAU ALASKA	UNITED STATES OF AMERICA	513073	0.03
49	STATE STREET MSCI EMERGING MARKETS SMALL CAP INDEX NON- LENDING COMMON TRUST FUND	ONE LINCOLN STREET BOSTON MA	UNITED STATES OF AMERICA	505844	0.03
50	SPDR S AND P EMERGING MARKETS SMALL CAP ETF	ONE LINCOLN STREET BOSTON MA	UNITED STATES OF AMERICA	445714	0.02
51	PUBLIC EMPLOYEES RETIREMENT SYSTEM OF OHIO	277 EAST TOWN STREET COLUMBUS OH 43215 USA	UNITED STATES OF AMERICA	437078	0.02
52	STATE STREET GLOBAL ADVISORS LUXEMBOURG SICAV - ST ATE STREET EMERGING MARKETS SRI ENHANCED EQUITY FU ND	49 AVENUE JF KENNEDY LUXEMBOURG	LUXEMBOURG	429943	0.02
53	JAPAN TRUSTEE SERVICES BANK, LTD STB DAIWA INDO KA BU MOTHER FUND	8-11 HARUMI 1-CHOME CHUO-KU TOKYO	JAPAN	429400	0.02
54	EMERGING MARKETS VALUE FUND OF DIMENSIONAL FUNDS P LC	25/28 NORTH WALL QUAY DUBLIN 1	IRELAND	426963	0.02
55	OREGON PUBLIC EMPLOYEES RETIREMENT SYSTEM MANAGED THROUGH ARROWSTREET CAPITAL L.P. EMERGING MARKETS STRATEGY	350 WINTER ST NE SALEM OREGON	UNITED STATES OF AMERICA	416410	0.02
56	EMERGING MARKETS CORE EQUITY FUND OF DIMENSIONAL F UNDS ICVC	20 Triton Street Regents Place London NW1 3BF U K	UNITED KINGDOM	363248	0.02
57	VANGUARD FIDUCIARY TRUST COMPANY INSTITUTIONAL TOT AL INTERNATIONAL STOCK MARKET INDEX TRUST	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	INDIA	322494	0.02
58	ISHARES EMSC MAURITIUS CO	INTERNATIONAL FINANCIAL SERVICES IFS COURT TWENTYEIGHT CYBERCITY EBENE	MAURITIUS	312125	0.02
59	BVK PERSONALVORSORGE DES KANTONS ZURICH	STAMPFENBACHSTRASSE 63 8006 ZURICH	SWITZERLAND	307536	0.02
60	SCS SUPER PTY. LIMITED AS TRUSTEE FOR AUSTRALIAN C ATHOLIC SUPERANNUATION AND RETIREMENT FUND	33 BURWOOD ROAD BURWOOD NSW 2134	AUSTRALIA	296785	0.02
61	UNIVERSAL-INVESTMENT-GESELLSCHAFT MBH ON BEHALF OF UI-E	JPMORGAN CHASE BANK N.A., INDIA SUB CUSTODY, 6th FLOOR, PARADIGM B, MINDSPACE, MALAD W, MUMBAI	INDIA	295750	0.02
62	PUB EQUITIES EMERGING MARKETS 2	UETLIBERGSTRASSE 231 ZURICH	SWITZERLAND	284867	0.02
63	ISHARES MSCI EM SMALL CAP UCITS ETF	JP MORGAN HOUSE IFSC DUBLIN 1	IRELAND	277826	0.02
64	TOKIO MARINE ASIA EX-JAPAN SMALL AND MID SELECT FU ND, A SUB FUND OF TOKIO MARINE FUNDS PLC	Block 5 Harcourt Centre Harcourt Road Dublin 2 Ireland		272341	0.01
65	VIRGINIA RETIREMENT SYSTEM	1200 EAST MAIN STREET RICHMOND VIRGINIA	UNITED STATES OF AMERICA	266950	0.01
66	EMERGING MARKETS SMALL CAPITALIZATION EQUITY INDEX FUND B	400 HOWARD STREET SAN FRANCISCO CA 94105	UNITED STATES OF AMERICA	247643	0.01

67	WORLD EX U.S. CORE EQUITY PORTFOLIO OF DFA INVESTMENT DIMENSIONS GROUP INC.	6300 Bee Cave Road Building One Austin Texas 78746 USA	UNITED STATES OF AMERICA	244294	0.01
68	VANGUARD TOTAL WORLD STOCK INDEX FUND	100 VANGUARD BOULEVARD MALVERN PA	UNITED STATES OF AMERICA	239421	0.01
69	STATE STREET MSCI EMERGING MARKETS SMALL CAP INDEX SECURITIES LENDING FUND	ONE LINCOLN STREET BOSTON MA	UNITED STATES OF AMERICA	231824	0.01
70	INTERNATIONAL BANK FOR RECONSTRUCTION AND DEVELOPMENT AS TRUSTEE FOR THE STAFF RETIREMENT PLAN AND TRUST	WORLD BANK 1818 H STREET N W WASHINGTON D C	UNITED STATES OF AMERICA	219746	0.01
71	TMA ASIAN SMALL TO MID CAP EQUITY FUND	C/O GLOBAL FUNDS TRUST COMPANY PO BOX 309 UGLAND HOUSE GRAND CAYMAN	CAYMAN ISLANDS	217553	0.01
72	STATE STREET GLOBAL ALL CAP EQUITY EX-US INDEX PORTFOLIO	ONE LINCOLN STREET BOSTON MA	UNITED STATES OF AMERICA	208978	0.01
73	ISHARES IV PUBLIC LIMITED COMPANY - ISHARES MSCI EMIMI ESG SCREENED UCITS ETF	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	INDIA	207693	0.01
74	EMERGING MARKETS TARGETED VALUE FUND OF THE DIMENSIONAL FUNDS II PUBLIC LIMITED COMPANY	25/28 NORTH WALL QUAY DUBLIN I	IRELAND	206831	0.01
75	T.A. WORLD EX U.S. CORE EQUITY PORTFOLIO OF DFA INVESTMENT DIMENSIONS GROUP INC.	6300 Bee Cave Road Building One Austin Texas 78746 USA	UNITED STATES OF AMERICA	202726	0.01
76	SEI TRUST COMPANY AS TRUSTEE ON BEHALF OF SEGALL BRYANT AND HAMILL EMERGING MARKETS SMALL CAP TRUST	HSBC SECURITIES SERVICES 11TH FLOOR, BLDG 3, NESCO - IT PARK NESCO COMPLEX, W.E. HIGHWAY GOREGAON (EAST), MUMBAI	INDIA	174352	0.01
77	COLONIAL FIRST STATE INVESTMENT FUND 50	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	INDIA	168994	0.01
78	DFA INTERNATIONAL CORE EQUITY FUND	SUITE 1520 - 1500 WEST GEORGIA STREET VANCOUVER BRITISH COLUMBIA	CANADA	164841	0.01
79	DFA AUSTRALIA LIMITED AS RESPONSIBLE ENTITY FOR DIMENSIONAL EMERGING MARKETS TRUST	Level 43 1 Macquarie Place Sydney NSW	AUSTRALIA	157919	0.01
80	WORLD EX U.S. TARGETED VALUE PORTFOLIO OF DFA INVESTMENT DIMENSIONS GROUP INC.	6300 Bee Cave Road Building One Austin Texas 78746 USA	UNITED STATES OF AMERICA	156240	0.01
81	SSGA SPDR ETF EUROPE I PUBLIC LIMITED COMPANY - SPDR MSCI EMERGING MARKETS SMALL CAP UCITS ETF	78 SIR JOHN ROGERSONS QUAY DUBLIN 2	IRELAND	155545	0.01
82	FIDUCIAN INDIA FUND	LEVEL 4 1 YORK STREET SYDNEY NEW SOUTH WALES	AUSTRALIA	155000	0.01
83	THE REGENTS OF THE UNIVERSITY OF CALIFORNIA - STATE STREET GLOBAL ADVISORS	1111 BROADWAY SUITE 2100 OAKLAND CA		148364	0.01
84	EMERGING MARKETS TARGETED VALUE PORTFOLIO	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	INDIA	131702	0.01
85	QUEENSLAND INVESTMENT TRUST NO 2	Level 5 66 Eagle Street Brisbane	AUSTRALIA	130061	0.01
86	CITY OF LOS ANGELES FIRE AND POLICE PENSION PLAN	360 E Second Street Suite 600 Los Angeles CA		129572	0.01

87	AMERICAN CENTURY ETF TRUST-AVANTIS EMERGING MARKET S EQUITY ETF	DEUTSCHE BANK AG, DB HOUSE, HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	INDIA	125200	0.01
88	MN SERVICES AANDELENFONDS EMERGING MARKETS - RE AR ROWSTREET	Prinses Beatrixlaan 15 Den Haag	NETHERLANDS	120630	0.01
89	LOS ANGELES CITY EMPLOYEES RETIREMENT SYSTEM	202 West First Street Suite 500 Los Angeles California	UNITED STATES OF AMERICA	117366	0.01
90	DFA INTERNATIONAL VECTOR EQUITY FUND	SUITE 1520 - 1500 WEST GEORGIA STREET VANCOUVER BRITISH COLUMBIA	CANADA	107653	0.01
91	ISHARES EMERGING MARKETS IMI EQUITY INDEX FUND	LEVEL 26 101 COLLINS STREET MELBOURNE VIC	AUSTRALIA	103174	0.01
92	SUNSUPER SUPERANNUATION FUND	30 LITTLE CRIBB STREET MILTON QLD	AUSTRALIA	93335	0.01
93	UTAH STATE RETIREMENT SYSTEMS	540 East 200 South Salt Lake City Utah	UNITED STATES OF AMERICA	93151	0.01
94	OREGON PUBLIC EMPLOYEES RETIREMENT SYSTEM MANAGED THROUGH DIMENSIONAL FUND ADVISORS LP	350 WINTER STREET NE SUITE 100 SALEM OREGON	UNITED STATES OF AMERICA	92737	0.01
95	MINISTRY OF ECONOMY AND FINANCE - BLACKROCK INTERN ATIONAL LTD- GEEQ	SEJONG GOVERNMENT COMPLEX 447 GALMAE-RO SEJONG SPECIAL SELF-GOVERNING CITY	SOUTH KOREA	86617	0.00
96	DIMENSIONAL FUNDS PLC - MULTI-FACTOR EQUITY FUND	25/28 NORTH WALL QUAY DUBLIN 1	IRELAND	84291	0.00
97	VANECK VECTORS GLOBAL MINING UCITS ETF	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	INDIA	81651	0.00
98	THE BOEING COMPANY EMPLOYEE SAVINGS PLANS MASTER T RUST	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	INDIA	72172	0.00
99	VANGUARD ESG INTERNATIONAL STOCK ETF	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	INDIA	70702	0.00
100	INTERNATIONAL MONETARY FUND - MANAGER A	700 19TH STREET NW WASHINGTON DC	UNITED STATES OF AMERICA	63117	0.00
101	GOLDMAN SACHS INVESTMENTS (MAURITIUS) I LTD	LEVEL 3 ALEXANDER HOUSE 35 CYBERCITY EBENE	MAURITIUS	60100	0.00
102	NH-CA INDIA FORTE EQUITY INVESTMENT TRUST	HSBC BUILDING 25 1-KA BONGRAE-DONG CHUNG-KU SEOUL	SOUTH KOREA	53066	0.00
103	WISDOMTREE INDIA INVESTMENT PORTFOLIO, INC.	C/O CIM GLOBAL BUSINESS 33 EDITH CAVELL STREET PORT LOUIS	MAURITIUS	51830	0.00
104	MERCER QIF FUND PLC- MERCER INVESTMENT FUND 1	70 SIR JOHN ROGERSON'S QUAY DUBLIN 2	IRELAND	50989	0.00
105	LEGAL AND GENERAL ASSURANCE (PENSION MANAGEMENT) L IMITED	One Coleman Street London	UNITED KINGDOM	42826	0.00
106	SEGALL BRYANT & HAMILL EMERGING MARKETS SMALL CAP FUND, LP	HSBC SECURITIES SERVICES 11TH FLOOR BLDG 3 NESCO IT PARK NESCO COMPLEX W E HIGHWAY GOREGAON EAST MUMBAI	INDIA	41890	0.00

107	SA EMERGING MARKETS VALUE FUND	10 ALMADEN BLVD 15 TH FLOOR SAN JOSE CALIFORNIA	UNITED STATES OF AMERICA	40705	0.00
108	CC& L Q GLOBAL EQUITY FUND	1111 WEST GEORGIA STREET SUITE 2300 VANCOUVER BRITISH COLUMBIA	CANADA	34912	0.00
109	INTERNATIONAL BANK FOR RECONSTRUCTION AND DEVELOPMENT AS TRUSTEE FOR THE RETIRED STAFF BENEFITS PLAN AND TRUST	WORLD BANK 1818 H STREET N W WASHINGTON D C	UNITED STATES OF AMERICA	33553	0.00
110	JOHN HANCOCK FUNDS II EMERGING MARKETS FUND	601 CONGRESS STREET BOSTON MA 02210 2805 USA	UNITED STATES OF AMERICA	30687	0.00
111	GLOBAL ALPHA TILTS FUND A	400 HOWARD STREET SAN FRANCISCO CA	UNITED STATES OF AMERICA	27958	0.00
112	BNP PARIBAS ARBITRAGE - ODI	BNP PARIBAS HOUSE 1 NORTH AVENUE, MAKER MAXITY BANDRA KURLA COMPLEX, BANDRA EAST MUMBAI	INDIA	26350	0.00
113	B K TRADING LTD.	C/o IL & FS Ltd IL & FS House Plot No 14 Raheja Vihar Chandivili Andheri East Mumbai	INDIA	26200	0.00
114	PUBLIC EMPLOYEES RETIREMENT ASSOCIATION OF NEW MEXICO	33 PLAZA LA PRENSA SANTA FE NEW MEXICO	UNITED STATES OF AMERICA	25640	0.00
115	NATIONAL FEDERATION OF MUTUAL AID ASSOCIATIONS FOR MUNICIPAL PERSONNEL 18464-2117	NIBAN-CHO 2 CHIYODA-KU TOKYO JAPAN	JAPAN	23293	0.00
116	NATIONAL FEDERATION OF MUTUAL AID ASSOCIATIONS FOR MUNICIPAL PERSONNEL 18464-2116	NIBAN-CHO 2 CHIYODA-KU TOKYO JAPAN	JAPAN	21142	0.00
117	CDN ACWI ALPHA TILTS FUND	161 BAY STREET SUITE 2500 P O BOX 614 TORONTO ONTARIO M5J 2S1 CANADA		20576	0.00
118	AMERICAN CENTURY ETF TRUST - AVANTIS EMERGING MARKETS EQUITY FUND	DEUTSCHE BANK AG, DB HOUSE, HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	INDIA	20393	0.00
119	INTERNATIONAL BANK FOR RECONSTRUCTION AND DEVELOPMENT ON BEHALF OF THE POST-EMPLOYMENT BENEFITS PLAN	WORLD BANK 1818 H STREET N W WASHINGTON D C	UNITED STATES OF AMERICA	19577	0.00
120	DIMENSIONAL EMERGING CORE EQUITY MARKET ETF OF DIMENSIONAL ETF TRUST	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	INDIA	14879	0.00
121	VANGUARD INVESTMENT SERIES PLC - VANGUARD ESG EMERGING MARKETS ALL CAP EQUITY INDEX FUND	HSBC SECURITIES SERVICES 11TH FLOOR BLDG 3 NESCO IT PARK NESCO COMPLEX W E HIGHWAY GOREGAON EAST MUMBAI	INDIA	11843	0.00
122	NORTHERN TRUST COMMON ALL COUNTRY WORLD EX-US INVESTABLE MARKET INDEX FUND-NON LENDING	50 South LaSalle Street Chicago Illinois	UNITED STATES OF AMERICA	11814	0.00
123	ADVANCED SERIES TRUST AST BLACKROCK GLOBAL STRATEGIES PORTFOLIO	GATEWAY CENTER 3 100 MULBERRY STREET 9TH FLOOR	UNITED STATES OF AMERICA	6641	0.00
124	GOLDMAN SACHS (SINGAPORE) PTE	1 RAFFLES LINKS 07 01	SINGAPORE	6585	0.00
125	BLACKROCK ADVANTAGE ESG EMERGING MARKETS EQUITY FUND OF BLACKROCK FUNDS	JPMORGAN CHASE BANK N.A., INDIA SUB CUSTODY, 6th FLOOR, PARADIGM B, MINDSPACE, MALAD W, MUMBAI	INDIA	6571	0.00

126	FOREIGN & COLONIAL EMERGING MARKETS LTD A/C FOREIGN & COLONIAL INDIA LTD	THE HONGKONG & SHANGHAI BANKING CORPN. LTD., HONGKONGBANK CENTRAL SERVICES CENTRE, SUDAM KALU AHIRE MARG, WORLI, MUMBAI	INDIA	4800	0.00
127	PICTET INVESTMENTS (MAURITIUS) LIMITED	DEUTSCHE BANK AG 222, KODAK HOUSE, DR D N ROAD, FORT, MUMBAI	INDIA	3600	0.00
128	STATE STREET MSCI ACWI EX USA IMI SCREENED NON-LEN DING COMMON TRUST FUND	ONE LINCOLN STREET BOSTON MA	UNITED STATES OF AMERICA	3265	0.00
129	GMO TRUST A/C GMO EMERGING MARKETS FUND	CITIBANK N.A. (CUSTODY SERVICES), C/O-SULTANALLY'S BUSINESS CENTRE, BARODAWALA MANSION, DR.ANNIE BESANT ROAD,WORLI, MUMBAI	INDIA	3000	0.00
130	VANGUARD INVESTMENTS FUNDS ICVC-VANGUARD FTSE GLOB AL ALL CAP INDEX FUND	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	INDIA	1839	0.00
131	JARDINE FLEMING INTL MGT INC A/C JARDINE FLEMING INDIA FUND INC	CITIBANK N A (CUSTODY SERVICES) C/O-SULTANALLYS BUSINESS CENTRE, BARODAWALA MANSION, DR.ANNIE BESANT ROAD,WORLI, MUMBAI	INDIA	1800	0.00
132	FLEDGELING NOMINEES INTL LTD A/C JARDINE FLEMING INDIA PACIFIC TRUST	CITIBANK N. A.(CUSTODY SERVICES) C/O-SULTANALLYS BUSINESS CENTRE, BARODAWALA MANSION, DR.ANNIE BESANT ROAD,WORLI, MUMBAI	INDIA	1600	0.00
133	TEMPLETON ASSET MGT LTD A/C TEMPLETON EMERG MKTS SERIES A SERIES OF TEMPLINSTLFUNDS INC	THE HONGKONG AND SHANGHAI BANKING CORPORA CUSTODY AND CLEARING DEPARTMENT WESTERN EXPRESS HIGHWAY SAHAR ROAD JUNCTION, VILE PARLE (EAST), MUMBAI	INDIA	1600	0.00
134	PENDAL NOMINEES PTY LTD AS TRUSTEE OF PENDAL-BALAN	DEUTSCHE BANK AG,GROUND FLOOR, MHATRE PEN BLDG., TULSI PIPE ROAD,DADAR(W), MUMBAI	INDIA	1400	0.00
135	ADVANTAGE ADVISERS INDIA FUND INC	HSBC CUSTODIAL SERVICES, HONGKONG BANK CENTRAL SERVICES CENTRE, SUDAM KALU AHIRE MARG, WORLI, MUMBAI	INDIA	1400	0.00
136	FLEMING FUND MGT (LUXEMBOURG) SA A/C FLAGSHIP INDIAN INVST COMPANY (MAURITIUS) LTD	DEUTSCHE BANK,GROUND FLOOR, MHATRE PEN BUILDING, TULSI PIPE ROAD,DADAR(W), MUMBAI	INDIA	1200	0.00
137	CITIBANK INTERNATIONAL PLC A/C STICHTING ALGEMEEN PENSIOENFONDS DER KLM	CITIBANK N A (CUSTODY SERVICES), C/O-SULTANALLYS BUSINESS CENTRE, BARODAWALA MANSION, DR.ANNIE BESANT ROAD,WORLI, MUMBAI	INDIA	1000	0.00
138	TEMPLETON EMERGING MARKETS INVESTMENT TRUST PLC	5 MORRISON STREET EDINBURGH MIDLOTHIAN	UNITED KINGDOM	800	0.00

139	ALLIANCE CAPITAL MANAGEMENT L P A/C ILF MAURITIUS	CITIBANK N.A.,(CUSTODY SERVICES), C/O-SULTANALLY'S BUSINESS CENTRE, BARODAWALA MANSION,DR ANNIE BESANT ROAD, WORLI, MUMBAI	INDIA	800	0.00
140	FEDERATED GLOBAL RESEARCH CORPORATION A/C FEDERATED EMERGING MARKETS FUND	THE HONGKONG AND SHANGHAI BANKING CORPN LTD,CUSTODIAN SERVICES DEPT, HONGKONGBANK CENTRAL SERVICES CENTRE, SUDAM KALU AHIRE MARG,WORLI MUMBAI	INDIA	800	0.00
141	TAIB A/C TSML	ICICI BANK LIMITED, SECURITIES MARKETS SERVICES, EMPIRE COMPLEX , E7/F7,1ST FLOOR, SENAPA LOWER PAREL, MUMBAI	INDIA	600	0.00
142	GEM DOLPHIN INVST MANAGERS LTD-A/C GEM SOUTH ASIA INVST CO LTD-SOUTH ASIA ACCESS FUND	DEUTSCHE BANK AG, GROUND FLOOR, MHATRE PEN BLDG,TULSI PIPE ROAD, DADAR (W), MUMBAI	INDIA	600	0.00
143	LGT ASSET MANAGEMENT A/C GT INDIAN SMALL COMPANIES FUNDS (MAURITIUS) LTD	DEUTSCHE BANK AG GROUND FLOOR, MHATRE PEN BLDG, TULSI PIPE ROAD, DADAR (W), MUMBAI	INDIA	400	0.00
144	SUPINVEST HOLDINGS LTD	V.C.MEHTA, 52,EL-CID,13-A,RIDGE RAOD, MUMBAI	INDIA	200	0.00
145	TEMPLETON DEVELOPING MARKETS TRUST	300 SE 2ND STREET FORT LAUDERDALE FLORIDA	UNITED STATES OF AMERICA	200	0.00
146	CITIBANK INTERNATIONAL PLC A/C INDIAN EXCHANGE INVESTMENT CO LTD INDIAN EQUITY PORT FOLIO	CITIBANK N.A.(CUSTODY SERVICES), C/O.SULTANALLYS BUSINESS CENTRE, BARODAWALA MANSION, DR. ANNIE BESANT ROAD,WORLI, MUMBAI	INDIA	200	0.00
147	ILF MAURITIUS	CITIBANK N. A. (CUSTODY SERVICES) C/O. SULTANALLY'S BUSINESS CENTRE, BARODAWALA MANSION, DR.ANNIE BESANT ROAD, WORLI, MUMBAI	INDIA	200	0.00
148	CITIBANK INTERNATIONAL PLC A/C GLOBAL EMERGING MKT EQUITY FUND	CITIBANK N A (CUSTODY SERVICES), C/O-SULTANALLYS BUSINESS CENTRE, BARODAWALA MANSION, DR.ANNIE BESANT ROAD,WORLI, MUMBAI	INDIA	200	0.00
149	PERMANENT TRUSTEE CO LTD AS TRUSTTEE OF BT MANAGED (NON TAX PAYING) FUND	CITI BANK N.A.,(CUSTODY SERVICES), C/O-SULTANALLY'S BUSINESS CENTRE, BARODAWALA MANSION,DR ANNIE BESANT ROAD, WORLI, MUMBAI	INDIA	200	0.00
150	BRINSON RELATIONSHIP FUNDS BRINSON EMERGING MARKETS EQUITY FUND	STANDARD CHARTERED BANK, CUSTODIAL SERVICES DIVISION, PHOENIX CENTRE,462 PHOENIX MILLS COMPOUN SENAPATI BAPAT MARG,LOWER PAREL, MUMBAI	INDIA	200	0.00
TOTAL:				153850192	8.38

C. Committee Meetings (Continued.....):

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
11.	Share Transfer Committee	15.12.2020	4	4	100
12.	Share Transfer Committee	08.01.2021	4	4	100
13.	Share Transfer Committee	22.01.2021	4	4	100